



SCADA System for Remote Control and Monitoring of Grid Connected Inverters

by

© Sarinda Lahiru Jayasinghe

A thesis submitted to the School of Graduate Studies in partial fulfillment of the requirements for the degree of Master of Engineering.

Faculty of Engineering and Applied Science
Memorial University of Newfoundland

May, 2018

St. John's, Newfoundland and Labrador, Canada

Abstract

This thesis presents a development of a supervisory control and data acquisition (SCADA) system for remote control and monitoring of grid-connected inverters. Since the number of battery energy storages connected to the grid is increasing the number of inverters connected to the power system is also rapidly growing. Utilities need to have the ability to monitor and control those inverters connected to the grid to maintain the stability of the network, to improve the quality of the power supplied and to stabilize the energy prices. After recognizing the requirement for a low-cost SCADA system for grid-tied inverters, essential features that needs to be embedded in the system have been identified by analyzing SCADA systems in the Wind Energy Institute Canada (WEICAN). Based on available options to fulfill the requirement selected SCADA systems were tested during the research. Based on the test results an Internet of Things (IoT) based server has been kept as the core of the developed SCADA system, and a SCADA development has been carried out to improve the system to deliver features identified. A requirement was recognized to embed an automatic control algorithm to the SCADA system for optimal control of the inverter to maximize the economic benefits out of it by considering the energy price variation and the renewable energy variation through a specific period. Results illustrate that the developed SCADA system has been able to deliver features identified during the research and the wind prediction algorithm has been able to maximize the economic benefits.

Table of Contents

Title page	i
Abstract	ii
Table of Contents	iii
List of Tables	vii
List of Figures	viii
List of Symbols	x
List of Abbreviations	xi
1 Introduction and Literature Survey	1
1.1 Background	4
1.1.1 Low-cost SCADA system	5
1.1.2 IoT based SCADA systems	8
1.1.3 Security of SCADA systems	10
1.1.4 Operation scheduling for Battery energy storage	11
1.2 Problem statement	13
1.2.1 Problem I: Features of a SCADA for an energy storage (ES) . .	13
1.2.2 Problem II: High Cost of SCADA	15
1.2.3 Problem III: Monitoring of an energy storage	15
1.2.4 Problem IV: Controlling of an energy storage	15
1.3 Objectives and Expected Contributions	15
1.4 Thesis Overview	17
1.4.1 Chapter 1:	17

1.4.2	Chapter 2	17
1.4.3	Chapter 3	17
1.4.4	Chapter 4	18
1.4.5	Chapter 5	18
1.4.6	Chapter 6	18
2	SCADA systems used at Wind Energy Institute of Canada: appli- cation, issues, cost and limitations	19
2.1	Introduction	20
2.1.1	Introduction to SCADA systems used in WEICAN	20
2.2	Features of SCADA systems	24
2.2.1	Graphical User Interfaces (GUI)	24
2.2.2	Alarming	24
2.2.3	Reporting	27
2.3	Data Logging / Data Redundancy	27
2.3.1	Data Operations	28
2.3.2	Networking/ Protocols	28
2.4	Costs Associated with SCADA	30
2.5	Controlling	30
2.6	Security	30
2.7	Issues with existing SCADA	30
2.8	Conclusion	31
3	IoT Hardware-Based Low Resource and Limited Storage SCADA Systems	33
3.1	Introduction	33
3.2	Background	34
3.3	Low Cost Client Side Options	35
3.3.1	12E based client	35
3.3.2	Arduino and Wi-Fi shield based client	37
3.3.3	Raspberry Pi based client	37
3.4	SCADA Server Options	37
3.4.1	RPI server with local data storage	39
3.4.2	Open Source SCADA software	39
3.4.3	IoT service provider	40

3.4.4	Private IOT server	42
3.5	Comparison	44
3.6	Conclusion and Future Works	47
4	SCADA system Based on Private thingspeak Sever	48
4.1	Introduction	48
4.2	Background	50
4.2.1	SCADA systems for Monitoring and Control	50
4.2.2	Low-cost SCADA for controlling of energy storage	51
4.3	Methodology	51
4.3.1	Description of the SCADA	51
4.4	Key Features of the Developed SCADA	58
4.4.1	Monitoring	58
4.4.2	Controlling	60
4.4.3	Reporting and alerting	61
4.5	Testing	61
4.6	Results and Comparison	63
4.6.1	Cloud assisted IoT SCADA Vs. Developed SCADA (Security features)	64
4.6.2	Commercial SCADA vs. Developed SCADA	65
4.7	Conclusion	65
5	Control Strategies for Remote Control of a Grid Tie Inverter and Its implementation using Low-Cost SCADA system	68
5.1	Introduction	68
5.2	Problem Statement	70
5.2.1	Problem formulation	70
5.3	Methodology	75
5.4	Results and Validation	79
5.5	Conclusion and future works	84
6	Summary and Recommendations	86
6.1	Summary	87
6.1.1	Research Summary Based on Objective 1	87
6.1.2	Research Summary Based on Objective 2	87
6.1.3	Research Summary Based on Objective 3	87

6.1.4	Research Summary Based on Objective 4	88
6.2	Significant Contributions	88
6.3	Directions for Future Work	89
6.4	List of Publications	89
Bibliography		91
A Protocol developed in UNB		95
B Appendix B: Code for Inverter SCADA		97

List of Tables

2.1	Costs Associated with SCADA in CAD [30]	30
3.1	Comparison of client side	44
3.2	Comparison of private IoT servers	45
3.3	Comparison of Server Side	46
4.1	Comparison of Invert SCADA with VTscada	66
5.1	Input data for the algorithm	82
5.2	Input data for the algorithm	83

List of Figures

1.1	Overview of a SCADA	14
1.2	Overview of the proposed system	16
2.1	Single Line Diagram for the Wind park [29]	21
2.2	Data Topology [30]	22
2.3	Control and Monitoring of the Wind Farm	22
2.4	VTScada Interface	25
2.5	PureWave Storage Management System interface	25
2.6	Moventas drive train condition monitoring web interface	26
2.7	Implementation of reports	27
3.1	Client Side	36
3.2	Server Side	38
3.3	Remote desktop with local data storage	39
3.4	Data flow diagram for UBIDOTs based approach	41
3.5	Block diagram for private Blynk server	43
3.6	Block diagram for private thingspeak server	44
4.1	Scope of the SCADA	52
4.2	Block diagram for the Inverter SCADA	53
4.3	Block diagram for python script	56
4.4	GUI for the Inverter SCADA developed in python	57
4.5	Thingspeak interface	59
4.6	Inverter Developed by the UNB	62
4.7	Testing the SCADA with the Inverter	63
5.1	Model considered with wind turbines and an energy storage	71
5.2	Proposed architecture	75

5.3	Block diagram for the algorithm	76
5.4	Price graph (CAD)	80
5.5	Wind speed graph (ms^{-1})	80
5.6	Wind power generated graph (kW)	80
5.7	Actual power at common coupling point graph (kW)	81
5.8	Optimum power output (P_t) generated by the algorithm (kW)	81
5.9	Operating schedule generated by the algorithm	81
5.10	Optimum power flow to the BESS	82

List of Symbols

B_t	Electricity selling or purchasing price at time “t”
P_t	Power at Common coupling point at time “t”
P_{wt}	Wind power generation for time “t”
P_{dis}	Discharging power of BESS at time “t”
P_{ch}	Charging power of BESS at time “t”
P_{loads}	Loads on the system at time “t”
P_{Et}	Net power delivered to the BESS at time “t”
LB_p	Lower bound for power P_t
UB_p	Upper bound for power P_t
LB_{EP}	Lower bound for power P_{ET}
UB_{EP}	Upper bound for power P_{ET}
P_{cutoff}	Cutoff power for the wind turbine
P_{rated}	Rated power for the wind turbine
E_t	Energy at time “t”
LB_E	Lower bound for Energy
UP_E	Upper bound for Energy
η_{ES}	Round cycle efficiency for the BESS
η_e	Self discharging efficiency
PF	Power factor
P_r	Real Power
P_{app}	Apparent power
Δt	Time difference between two iterations

List of Abbreviations

SCADA	Supervisory Control and Data Acquisition
ES	Energy Storage
BESS	Battery Energy Storage System
WEICAN	Wind Energy Institute Canada
RPI	Raspberry Pi
IoT	Internet of Things
GUI	Graphical User Interface
DC	Direct Current
GSM	Global System for Mobile communication
RTC	Real Time Clock
LCD	Liquid Crystal Display
PIC	Peripheral Interface Controller
AT	ATtention command set
RTU	Remote Terminal Unit
PLC	Programmable Logic Controller
GPRS	General Packet Radio Service
PV	Photovoltaic
AVR	Alf and Vegard's RISC processor
IC	Integrated-Circuit
EEPROM	Electrically Erasable Programmable Read-Only Memory
DAQ	Data Acquisition
WSAN	Wireless Sensor and Actuator Networks
CI	Critical Infrastructure
WoPeD	Workflow Petri Net Designer
MTU	Master Terminal Unit
GA	Genetic Algorithm
EP	Evolutionary Programming
PSO	Particle Swarm Optimization
NSERC	Natural Sciences and Engineering Research Council
GUI	Graphical User Interface
HMI	Human Machine Interface
UART	Universal Asynchronous Receiver-Transmitter

Chapter 1

Introduction and Literature Survey

Electricity can be identified as the most necessary commodity after man has moved into the industrial age. The conventional power system has been built to supply electricity from a central power source to distributed consumers. After the addition of bi-directional power flow sources such as energy storages and mini and microgrid concepts, the power system has become more and more vulnerable. To reduce the cost of energy supplied and to improve the quality of the power provided, energy storage systems are installed. This allows utility companies to lessen the cost of electricity by utilizing, mainly renewable energy sources. Using more renewable energy sources to replace high carbon emission generation plants help to slow down the climate change where climate change has become the threat to everyone in the world [1].

According to the Global Energy Storage Database, 98% of energy storage systems are hydro pump systems while BESS are becoming more popular with built-in features associated with BESS [1]. Different technologies are being used in energy storage other than BESS and Pump hydro. Fly wheels can be identified as one of the leading techniques that have been used and compressed air systems can also be considered as another emerging trend [2]. When it comes to the field of battery energy storage

systems (BESS), developmental work which is carried out to use decentralized BESS in households as well as near to each distribution transformers [2]. And it is essential to develop appropriate power converters especially made to be used with BESS and other energy storages.

There are many advantages of integrating energy storage devices to the power systems. Not only it enables to reduce carbon emission, but also it will improve the quality of the electricity supplied by enhancing the reliability of the power supplied [2]. It will also reduce the cost of the energy supplied by peak shaving and optimizing the power generation. Those are some of the advantages which users will be benefited by integrating energy storage systems into the power system. From the utility side, energy storage has been recognized as the second technology with the most significant potential as a future revenue source for utilities [2].

There are many barriers when integrating energy storage into the existing power system. This includes the sizing of the energy storage, how to select the optimum size of the energy storage, where to install the energy storage, how to operate the energy storage to maximize the profit are few barriers out of them [2].

The interoperability of energy storage with other elements in the smart grid is another challenge [2]. Specifically, when integrating different technologies to the existing grid, there will be problems with protocols, hardware mismatches, etc. Many issues need to be solved before integrating energy storage systems to the current network. Most importantly just adding an energy storage into the system would not add any benefit to the system and it has to be optimally controlled to get the maximum benefit out of it. Since the workforce is not cheap energy storage must be monitored and controlled remotely [2].

In most of the cases, power converters are being utilized to integrate energy storage systems in the power system, and here inverters are used to inject inverted DC power

to the grid. DC power generated by Solar, Wind or any other renewable source of energy or stored in a battery or any other energy storage device will be fed into the grid. In present day scenario power injected by small-scale power producers are not controlled or monitored by the utility, but it will become a requirement for the utility when the capacity exceeds the stability limits.

Since there is an emerging market for BESS and other energy storage systems other than pump hydro storage systems required to control and monitoring of power converters (inverters) will also become a requirement.

There are many types of power converters (inverters) that can be found in the market while high-end high-cost inverters are coming with embedded SCADA systems and low-cost with the same efficiency inverters might not come with such sophisticated features [3]. Usually, most of the expensive inverters like SMA provide embedded SCADA systems. But most of the lower end inverters and small inverters do not offer SCADA system. Therefore, there is a scarcity of open source low-cost SCADA for inverters. For example, SMA sunny boy 10kW inverter costs around CAD 4000.00 [4] and the maximum efficiency is 98% and a low-cost inverter can be found from Alibaba at CAD 1000.00 with the same efficiency [5].

After identification of critical features of a SCADA, this research is being conducted to identify the best available open source system so that it is available to be used under an open source license with the lowest cost that can be used for remote monitoring and control. Ultimately, to develop a SCADA system for monitoring and control of a grid-connected inverter.

Many technologies are being utilized to satisfy the above purpose such as Labview based systems, Simulink based systems, open source software based systems and dedicated SCADA software [3]. But there are many disadvantages attached to each system which is discussed in the later parts of this chapter. Therefore in this research, the

state-of-art technology, Internet of Things (IoT) based architecture has been selected to develop the SCADA. Following problems are addressed in the thesis,

- Scarcity of user-friendly and secure SCADA systems that can easily integrate with inverters.
- Optimum controlling of a battery energy storage.

1.1 Background

SCADA is not a novel field. Before the transistor comes into the picture, old telephone wire based systems were emerging in the field of SCADA system. By the end of the 1950s, Westinghouse and North Electric Company developed the first SCADA called Visicode [3]. Then it gradually developed after the invention of the transistor which led to high power computers, minicomputers, microprocessors, etc. A SCADA has three main parts, Master Terminal Unit (MTU), Remote Terminal Units (RTUs) and Human Machine Interface (HMI).

Many SCADA systems are developed in the world for monitoring and controlling purposes. Although this topic covers a vast area, in this literature survey, low cost and open source options are mainly discussed. There are four primary objectives of this literature survey. The first is to review low-cost conventional SCADA technologies. Secondly, to evaluate IoT based technologies. Security of SCADA assessed systems as the third objective, and finally, to control the battery bank, optimum operating scheduling methods are being reviewed.

A. M. Gurilo et al. [6] present a SCADA for a wireless sensor and actuator networks for monitoring of critical infrastructure in a cost-effective way with eliminating problems associated with typical SCADA. Problems that happen due to the lack of deployment flexibility of the sensors that feed it with monitoring data . Authors

have given a solution using a gateway and a web services approach for a web-based SCADA which can be accessed through the Internet. They have also presented a real scenario using an open source SCADA called MANGO physically applying to monitor an electrical grid. They have identified several characteristics in a SCADA,

- Dynamism: nodes are flexible and mobile, and that has to be easily added and removed through less wiring.
- Retrofit: addition of new technology or features to older systems
- Ease of installation: sensor should not need a separate energy source.
- Redundancy: reliability is one of the one of the key feature that needs to be added into SCADA system.

Additionally, they have defined some challenges associated with developing a SCADA system as,

- Real-time communications
- Management support
- Security

1.1.1 Low-cost SCADA system

Low-cost hardware

PIC microcontroller based system: Y. P. Zhang et al. [7] presents a SCADA system with low-cost elements such as PIC18F4620 microcontroller, GSM modem, Ethernet controller, RTC board, digital thermometer and an LCD. In the proposed solution remote commands and monitoring are enabled by using two solutions: 1)

GSM/3G Short Message Service (SMS) / phone calling or 2) the web page hosted by the PIC microcontroller. AT commands are used to control the GSM modem .

Although PIC microcontrollers are lower in costs, it is much difficult to handle pic microcontroller compared to an Arduino. And it is also challenging to interface devices to PIC compared to Arduino.

ESP8266 based system: Design of a remote control plug using ESP8266 module is presented by Y. P. Zhang et .al. [8] Since the concept behind IoT is to connect every sensor and actuator to the internet through an IP address, this project can be treated as a start.

Though remote controlling of a plug is not a new concept, this design can be treated as a vital solution with an application of ESP8266 and home appliances plugged in smart plug, as people can control devices anytime anywhere. WIFI module is essential to the whole IoT services because existing approaches mainly deal with aspects and issues related to connectivity and communication .

ESP12E can be considered as one of the emerging trends due to the IoT architecture and low-cost nature of ESP8266. However, this paper narrow down the applicability of ESP8266 for a home application where it has a vast span of the application domain. This device can be used as a server itself as well as a client.

Arduino Based systems A SCADA system to visualize and monitor the status of a critical infrastructure system has been presented in [9]. This potential has given rise to the concept of a web-based controlling to allow end users to access and color recognition is used. The proposed system in this paper is developed using Arduino microcontroller board.

Although Arduino and web-based systems are much more superior compared to PIC based systems, novel Arduino plus IoT based systems play much more superior

role compared to the web-based system [8].

Low-cost server

Labview and Simulink based implementations S. G. Hegde et. al. [10] introduce a low-cost SCADA which behaves as a Remote Terminal Unit (RTU) and helps in gathering the data from the PLC . In their approach, they have following steps,

- The remote terminal unit will collect data from a series of PLCs and make a database of the received data.
- This data is then sent to a server with the help of Zigbee. The necessary factors are also shared with the help of a Bluetooth module.
- It is done using Labview with including low-cost hardware devices such as the ZigBee, Bluetooth, GSM/GPRS module and an LCD.

A. Soetedjo et al. [11] present a data acquisition systems which are technologically advanced to monitor the wind speed, solar irradiation, and PV temperature based on a low-cost AVR microcontroller. This project is also a one of the example for a low-cost SCADA system which uses a low-cost PV module other than an expensive pyranometer. When analyzing used software and hardware,

- The integrated-circuit (IC) temperature sensor LM35 and a cup-type anemometer are used to measure the temperature and the wind speed respectively,
- Labview is used to develop the control algorithm,
- Instead of a desktop computer they have used a microcontroller to store data in a serial EEPROM,

- Modbus is used as the protocol for communication between DAQ and other devices.

They also present a framework of Intranet-SCADA using LabVIEW based data acquisition and management. It describes a configuration of RTU to access and transmit real-time data over the Intranet or Internet. Labview based program is developed to control the water level in a reservoir. In this paper, cost of LabView not being taken into account. Though it is straightforward to implement Labview based systems are expensive compared to other low-cost approaches.

Using Open source SCADA software Grilo A. M et. al. [6] present, a web-based SCADA software called Mango is used. A SCADA system using WSANs to visualize and monitor the status of a CI system has been presented. Some of the common challenges existing in these systems: real-time, management and security are addressed in the paper [6].

The main drawback of using a standard SCADA software is that those only communicates using several protocols, and it is difficult to customize the protocol without a specific training on the system [12].

1.1.2 IoT based SCADA systems

An agent-based distributed SCADA system is presented by B. T. Sergi et al. [13] and it is a proposal to integrate Public Key Infrastructure (PKI) functionalities, concerning on distributed features, into Industrial Control Systems (ICS).

To implement several agents are defined such as interface agent, agent controller, instrument agent, process agent and host agent. Since it has agent-based architecture, it can also be considered as a multi-agent based SCADA that having a lot of features. Additionally, they have shown how a Public Key Infrastructure could be built with

distributed elements to be used within a distributed control system. Plus, in the end, the discussion of security threats because of Multi-Agent system for a SCADA is briefly discussed.

C. Felipe et al. [14] introduce a wireless communication system related sensor concept that deals with temperature variations during the scaled industrial process. There is access to these sensors from anywhere since these sensors are used IoT technology. The PLC executes according to the set points of temperature sensors, and this PLC can be contact or control via a graphical programming platform using which sensor data has been collected into a cloud. This system uses a SCADA to monitor the system. Also, the system communicates by forming of Petri nets which acts according to discrete events and the software used to model that communication system is WoPeD software.

Thingspeak based SCADA

Due to open source nature of the thingspeak server, many research are now being conducted using the thingspeak server.

In their project work author uses the IoT thingspeak web server which is an open API service that can be used with sensors to monitor the sensed data at cloud level and amalgamated [15]. A unique feature of getting the sensed data to the MATLAB R2016a utilizing a channel ID and read API key that is assigned by services and able to track data value at particular intervals. This project uses an Arduino UNO board, ESP8266 Wi-Fi Module that helps to process and transfer the sensed data to the **thingspeak cloud**. The main drawback of using thingspeak.com server is that it only allows user to upload data at very low frequency, where the user can supply data for each 15 S. But when the same server is installed locally it will enable the user to upload data at any frequency [15].

1.1.3 Security of SCADA systems

Z. Bonnie et al. [16] present the differences between SCADA systems and standard IT systems and also discussed how to identify the danger engaged with the SCADA and how to protect SCADA. This paper has presented set of security property goals as well.

Also, this article describes the way of identifying possible cyber-attacks like cyber-induced cyber-physical attacks on SCADA and how to measure and safeguard SCADA system from such attacks by measuring the impact on SCADA. Moreover, this gives a comparison between SCADA and traditional IT networks. Authors have set several security goals or features that should be included in a SCADA system,

- Security property goal
 - Timeliness - the time-criticality of the control systems
 - Availability - equipment within the system should be ready for use when is needed
 - Integrity - data within a SCADA system being genuine and intact without unauthorized intervention.
 - Confidentiality - unauthorized person should not have any access to information.
 - Graceful Degradation - Isolation of attacked without being spreading.
- Trust model - the underlying physical security is provided. Notably, the SCADA server or Master Terminal Unit (MTU) is physically secure, i.e., we assume there is no direct physical tempering on the server where the central control and estimation algorithms reside [16].

- Threat Model - threats to sensor networks and to conventional IT systems are also threats to SCADA system.

Hasan et al. [17] give an overview of Trust systems used for SCADA system which includes fire-walling and network intrusion detection functionalities. Also, TRUST system can monitor incoming and outgoing traffic as well. However, to lowering both capital and operational costs, only the essential nodes have been connected to the system, and those nodes are called TRUST nodes. From the point of network topology, this paper has discussed forming the trust network also has achieved to build up a method to protect SCADA while connecting a minimal number of nodes. In that case, to distribute the nodes and to measure the geographical dispersion, network segmentation approach and the minimum spanning tree (MST) methods has been used.

1.1.4 Operation scheduling for Battery energy storage

Many types of research have addressed scheduling problem, and considerable effort has been dedicated.

Conventional approaches

D.K. Maly et al. [18] present a dynamic programming algorithm for the optimal charge/discharge scheduling of BESS. The algorithm safeguards the minimization of the electricity bill for a given battery capacity and also reduces stress on the battery and prolonging battery life. It shows that the optimal charging curve is expressively different from the curve conventionally published for BESS.

Chin H. Lo et al. [19] show an algorithm combining multi-pass dynamic programming (MPDP) with a time-shift technique which has been developed for two

purposes: economic dispatch of BESS and finding optimal BESS power and energy capacities in a power system. A comparison between DYNASTORE and modified MPDP approaches had been made with a reasonable discrepancy.

Mohammad S. H. et al. [20] used Lagrange Relaxation (LR) to address the same optimization problem. The mathematical model discussed is based on the load curve of a typical power utility shows that the introduction of stored energy in the system reduces the need for an excessive generation to meet peak load demand. Additionally it shows that the storage operation accomplishes dynamic operating cost savings by letting the total sparing of a spinning reserve unit when the load variation between peak and off-peak levels is less than 25 percent.

AI-based approach

Recently AI-based algorithms such as Genetic Algorithm (GA), Evolutionary programming (EA), and Particle Swarm Optimization (PSO) have been used to address the problem.

Thai D. H. C. et al. [21] use Evolutionary Programming (EP) to minimize the cost of operating a power system with multiple distributed energy storage resources. The evolutionary technique syndicates the advantages of both dynamic and evolutionary programming by evolving piecewise linear convex cost-to-go functions (i.e., the storage content value curves). Evolutionary programming is shown to be suitable for both decentralized computing and market applications. Case studies demonstrate that the technique is robust and efficient for this type of scheduling problem.

Lance C. C. F. et al. [22] suggest a fuzzy and genetic algorithm combined approach. Two algorithms have been developed. One was based on a Pure Genetic Algorithm (PGA) approach, and the other was based on a combined Fuzzy-logic and Genetic Algorithm (FGA) approach. Regarding efficiency and charge-discharge cycles, the

FGA method is capable of providing improved results.

Tsung-Ying L. et al. [23] suggest a particle swarm optimization (PSO) based approach. The new algorithm is named as multipass iteration particle swarm optimization (MIPSO) while preparing the algorithm the effects of wind speed uncertainty and load are considered.

1.2 Problem statement

Supervisory controlling and data acquisition, is vital for any devices connected with a power system. There is an increasing demand for low-cost SCADA systems in the field of smart grids. Figure 1.1 illustrates a typical arrangement of a SCADA; it contains few remote stations which connected to a master station, and human-machine interfacing is done through a communication network, typically through the Internet.

1.2.1 Problem I: Features of a SCADA for an energy storage (ES)

Although a broader dedication is put toward SCADA systems by the research community, features expected from a SCADA system are different from system to system. Although energy storage is not a new field, requirement of monitoring and control of ES is not necessary till it contributes a significant amount to the supply and demand of power. Therefore there is a requirement to recognize and document expected features from a SCADA uses for monitoring and control of an ES.

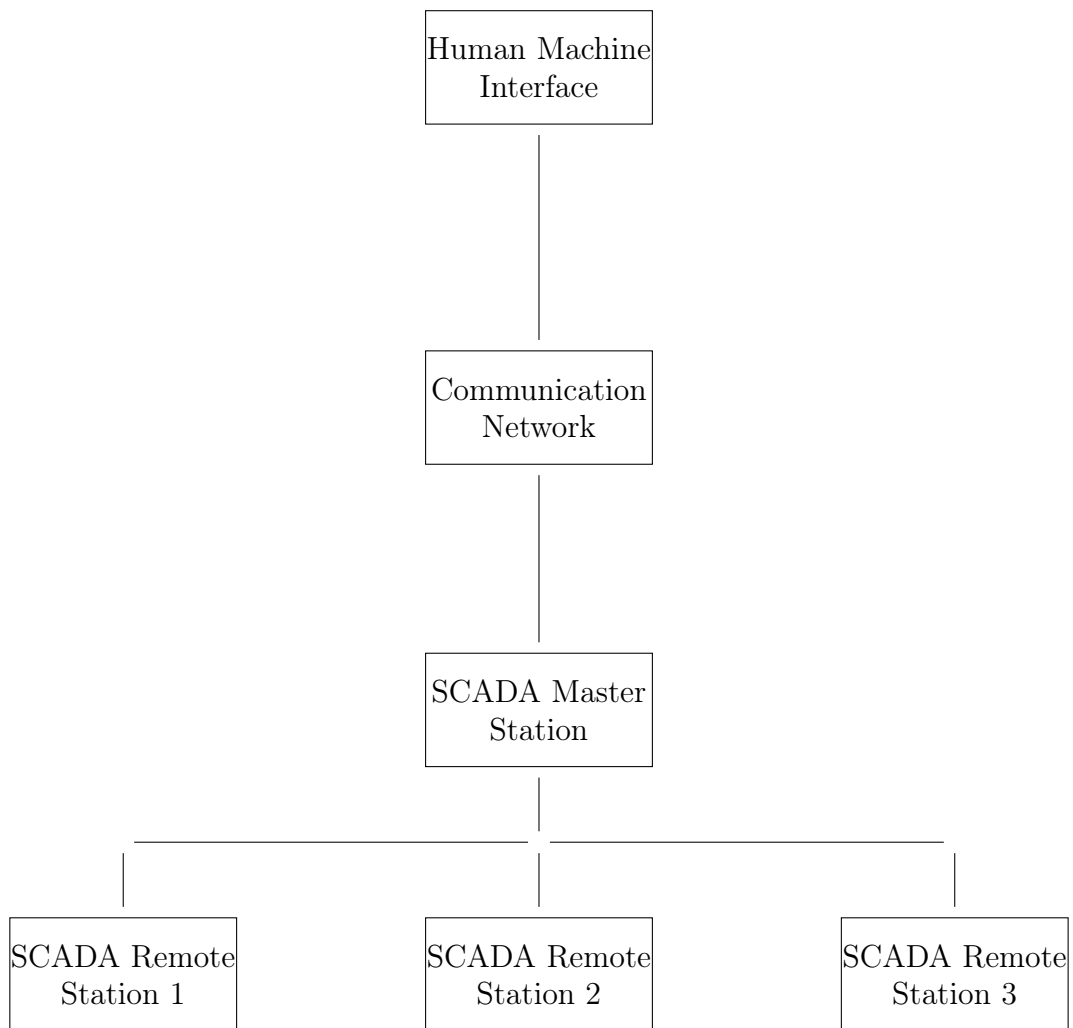


Figure 1.1: Overview of a SCADA

1.2.2 Problem II: High Cost of SCADA

There are some low cost, open source SCADA systems available. There is a requirement to conduct a study to identify suitability of those systems for monitoring and control of ES.

1.2.3 Problem III: Monitoring of an energy storage

Energy storage systems are essentially be monitored to identify the power flow through the ES as well as for maintenance purposes. Therefore, based on the adapted system, SCADA needs to be developed with following features for the inverter developed at the University of New Brunswick [24],

- Communicate using protocol described in the Appendix A
- Upload data in each second
- Low cost with an upper limit of CAD 500.00.

1.2.4 Problem IV: Controlling of an energy storage

Controlling is an essential feature that needs to be embedded in a SCADA system. From the literature survey, it can be identified that there is a gap for an optimum controlling of an inverter connected to an energy storage to maximize the profit. Energy storage works as a load as well a source to the power system, therefore controlling needs to be done while considering trending price data as well as load data.

1.3 Objectives and Expected Contributions

The primary objective of this research is to develop a low-cost SCADA for monitoring and control of a grid tie inverter. Figure 1.2 The figure shows the architecture of the

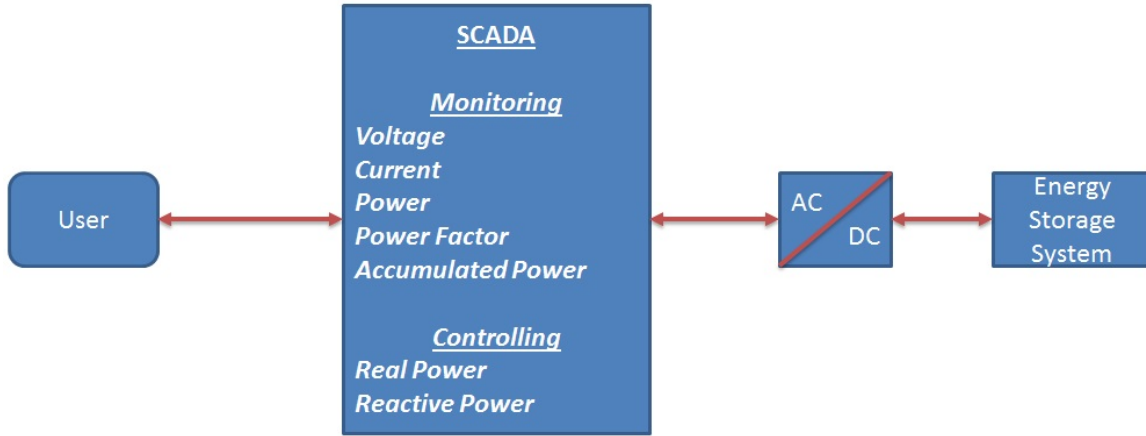


Figure 1.2: Overview of the proposed system

designed system. The primary purpose is achieved by achieving four sub-objectives.

Objective 1 is to identify main features of SCADA system for remote control of grid-connected inverter.

Objective 2 is to compare available low-cost SCADA options.

Objective 3 is to develop a low cost SCADA with features specified in the Figure 1.2.

Objective 4 is to control an inverter connected an energy storage system to maximize the profit.

NSERC Energy Storage Technology Network (NESTnet) has been built to improve the energy storage technologies in Canada, and it networks 15 universities around the Canada. The research is carried out under four major themes, and the project documented in this thesis comes under the theme two which investigate about power converters for energy storage systems. Under theme 2.4 two main projects are being conducted and the thesis describes developing a SCADA for monitoring and control of grid tie inverter.

1.4 Thesis Overview

1.4.1 Chapter 1:

This chapter mainly gives an overview of the thesis where section 1.4 of the chapter one gives a brief overview to the research. Section 1.1 gives thorough literature review and identifies the problem. At the end of the chapter, the author defines objectives of the research.

1.4.2 Chapter 2

As a part of the research, the author has visited WEICAN to study deferent SCADA systems. Chapter 2 presents a review on SCADA systems used in WEICAN, their applications, issues, costs, and limitations.

1.4.3 Chapter 3

This chapter demonstrates a study to compare IoT hardware-based low resource and limited storage SCADA systems to achieve the objective 2. To achieve this objective from the client side, an interface between an inverter and the SCADA has been developed. Three low-cost options are being tested and tabulated the chapter comparing inherent advantages and disadvantages. For the server side, four different methods are being tested and compared regarding security, ease of installation, ease of using and ease of integrating new components. Content presented in this chapter have been presented at CCECE2017 [12] and part of the work have been presented at NECEC2016 [25].

1.4.4 Chapter 4

Chapter 4 presents the development of a low-cost SCADA system to achieve the objective 3. This chapter describes a development of an IoT based open SCADA for monitoring and controlling of inverters using an open source thingspeak local server and Python. The usage of open source software reduces the cost while keeping the security and the reliability at a higher level [16]. The python based program allows the user to obtain data from the serial port and posts data on the thingspeak server and the user is allowed to view and upload data from the server. A graphical user interface (GUI) has been developed to interact with the SCADA system, and it shows current data values and allows the user to set the controlling variables. Work demonstrated in this chapter has been presented in NESTNet [26] conference as a poster.

1.4.5 Chapter 5

Control strategies for remote control of a grid tie converter which connects an energy storage and the power network are demonstrated in chapter 5. it also shows the implementation of the suggested control strategy in low-cost SCADA system developed in chapter 4. Work presented in this chapter has been accepted to present at NECEC2017 [28].

1.4.6 Chapter 6

Chapter 6 shows the conclusion of the thesis and recommendations for future directions.

Chapter 2

SCADA systems used at Wind Energy Institute of Canada: application, issues, cost and limitations

This chapter presents a detailed review of SCADA systems used in WEIcan for monitoring and controlling of wind turbines as well as battery energy storage and inverter associated. The primary objective of this chapter is to identify main features of a typical commercial SCADA system by evaluating SCADA systems used in WEICAN, Prince Edward Island (PEI). Other than identifying main features, this chapter also evaluates issues that users faced after using conventional SCADA systems. Other than features and problems, costs of the system and limitations in different systems are also documented. While preparing the chapter, the author has visited the Wind Energy Institute Canada (WEICAN) at the PEI and surveyed for two weeks.

2.1 Introduction

The WEICAN is a non-profitable organization formed in 1981. WEICAN facilitates researchers to test their wind turbines on their site, and they run standard tests to check the viability of the design. WEICAN is one of the best places to observe different types of wind turbines at a single location. Apart from that, the research institute also own a 10 MW wind park with five 2 MW wind turbines integrated with a battery energy storage. Figure 2.1 illustrates the single line diagram of the wind park. Because of their setup and the arrangement, WEICAN can be identified as the best place to observe different SCADA systems at a single site. WEICAN uses data acquisition systems to collect data for various wind turbines that they are testing. Monitoring and the control of the wind park are done using a central SCADA. For monitoring of the wind turbine blade conditions, monitoring, and control of the battery energy storage, monitoring of the wind turbine generator condition are done through separate SCADA systems. Figure 2.2 demonstrates the data topology for the WEICAN. Figure 2.3 demonstrates the SCADA arrangement for the wind farm.

2.1.1 Introduction to SCADA systems used in WEICAN

VTSCADA (VTS)

VTS is the main SCADA system that is being used WEICAN for monitoring and controlling of their wind park. Data taken from other SCADA systems are also fed into the VTS. Fully integrated VTS provides following features,

- HMI interface built for operators
 - Process displays
 - Alarm and event management

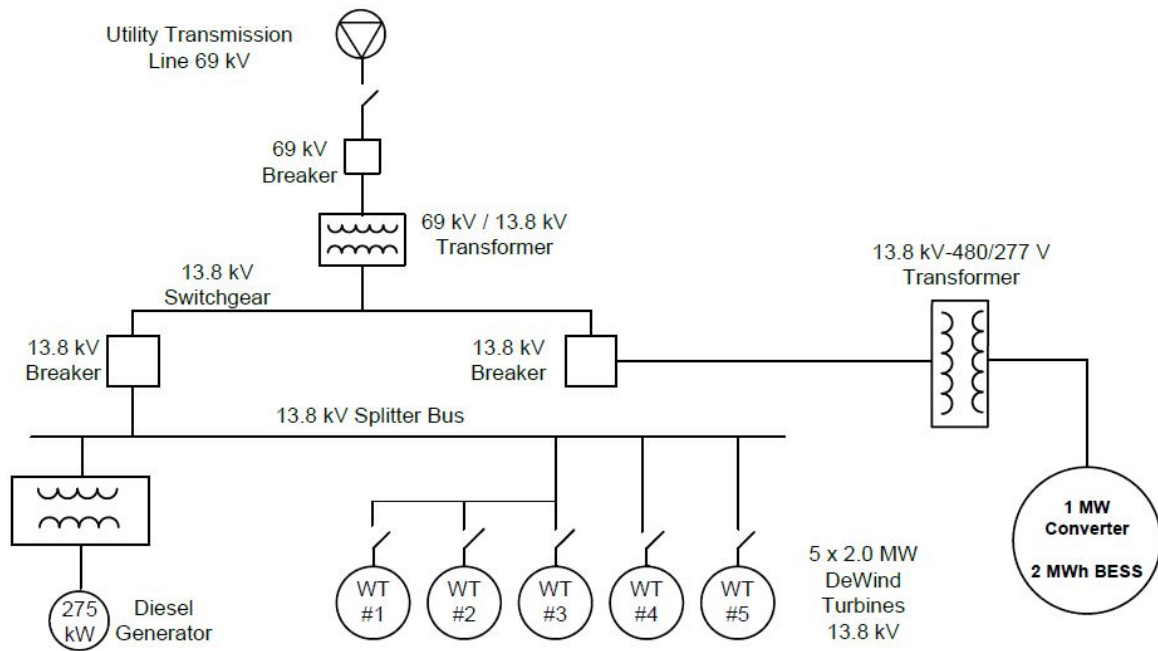


Figure 2.1: Single Line Diagram for the Wind park [29]

- Historical data viewer (HDV)
- Report generator
- Ease-of-use features
- Secure universal SCADA access
 - VTScada thin clients
 - SCADA alarm notification
- Strong reliability
 - Redundancy and automatic fail-over
 - Real-time history and configuration backup
 - Historical data logging
- Advanced connectivity

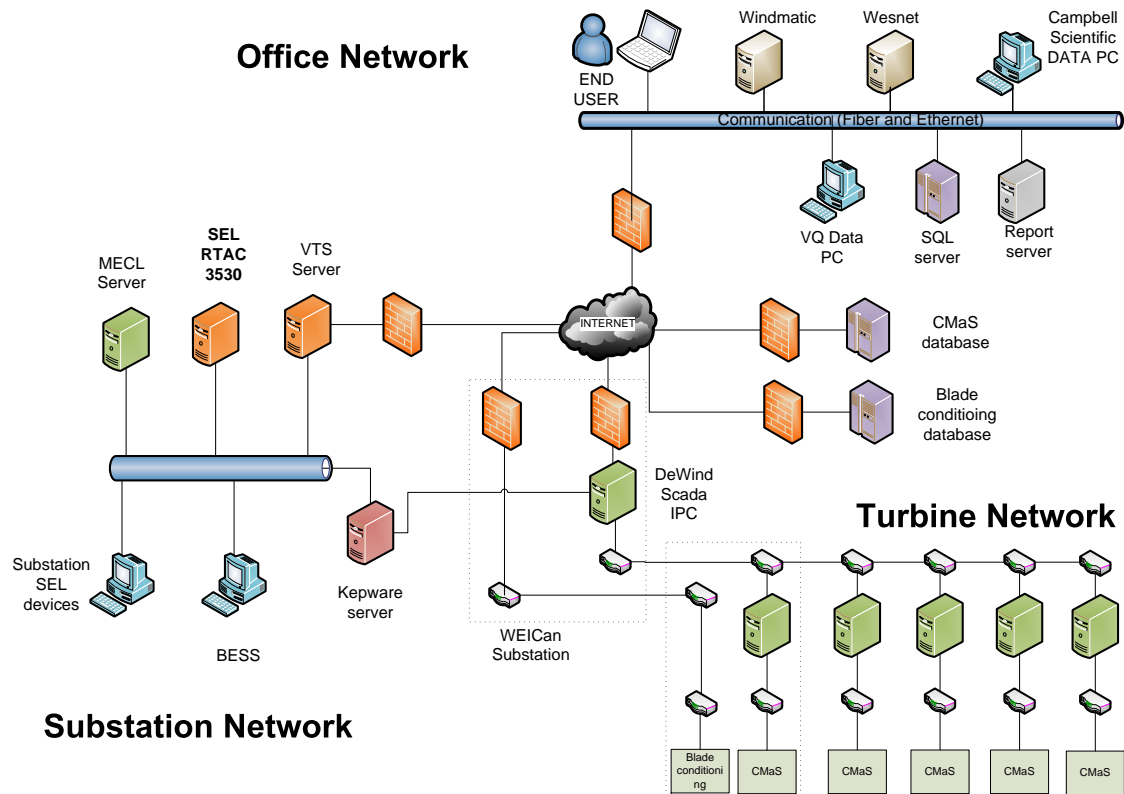


Figure 2.2: Data Topology [30]

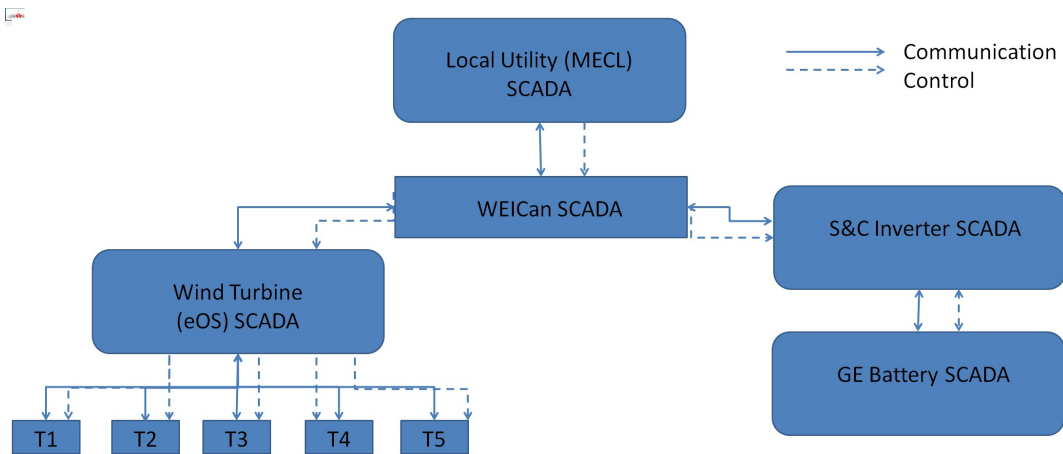


Figure 2.3: Control and Monitoring of the Wind Farm

- ODBC server
- OPC client / server
- Web services
- Modem management
- Polling management

Engineering Operating System (EOS)

EOS comes with the DeWind wind turbines. In this institute, VTScada provides the upper-level control capabilities, and the EOS is working the lower level controller. It is also designed for data acquisition. EOS is coming with following components

- **EOS Control:** Software, and hardware for the management and control of the wind energy plants and communication links, as well as for handling local data backups.

Campbell Scientific

Campbell Scientific has a vast range of products for data logging and SCADA systems. In the institute they are using these systems for data acquisition process on a wind turbine that are tested.

ION Data Acquisition

ION meter is an energy meter produced by Schneider Electric, and it keeps data about power consumption. The user can import data from the meter using ION software.

IMC Data acquisition system

This data acquisition system supposes to get data from five turbines, met mast, and strain gauges. It sends data to the central SCADA system.

CMAS Condition Management System

This system supposes to monitor gearbox condition. It also monitors other drive train components in a wind turbine.

2.2 Features of SCADA systems

This section demonstrates how HMI is implemented analyzing few of SCADA systems.

2.2.1 Graphical User Interfaces (GUI)

Having user-friendly GUIs are one of the most important parts of the HMI. Figure 2.4, 2.5, and 2.6 show interfaces of different SCADA systems.

2.2.2 Alarming

When specifically talking on the alarm system most of their SCADA systems don't have an alarm system because most of them are just made to record data. But in the main SCADA, VTScada alarming is implemented with following features.

- Alarm system interface has several tabs.
- Several levels of authorizations are given. Actions can only be taken by higher level
- There are five categories of alarms,

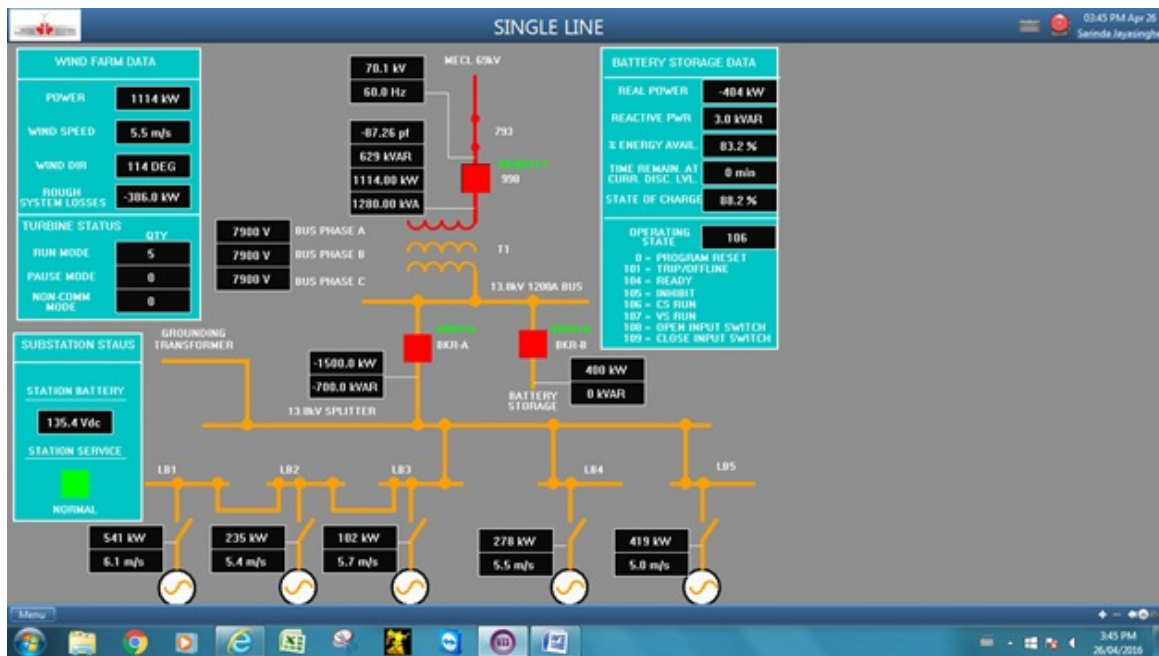


Figure 2.4: VTScada Interface

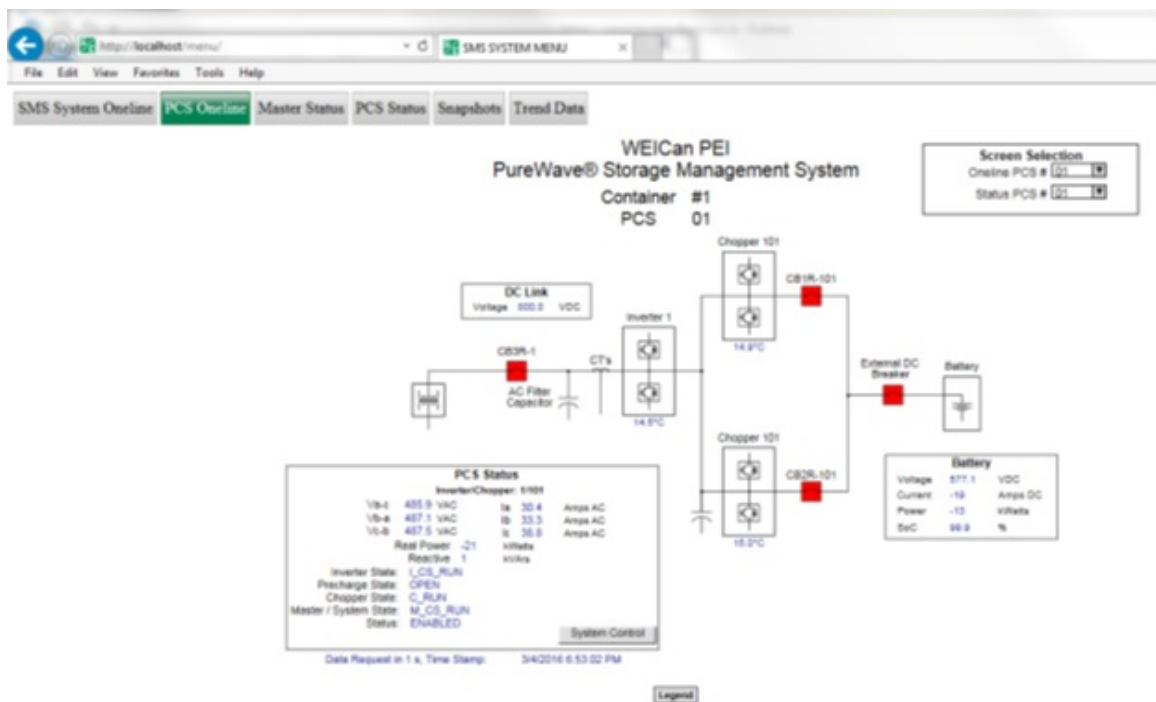


Figure 2.5: PureWave Storage Management System interface

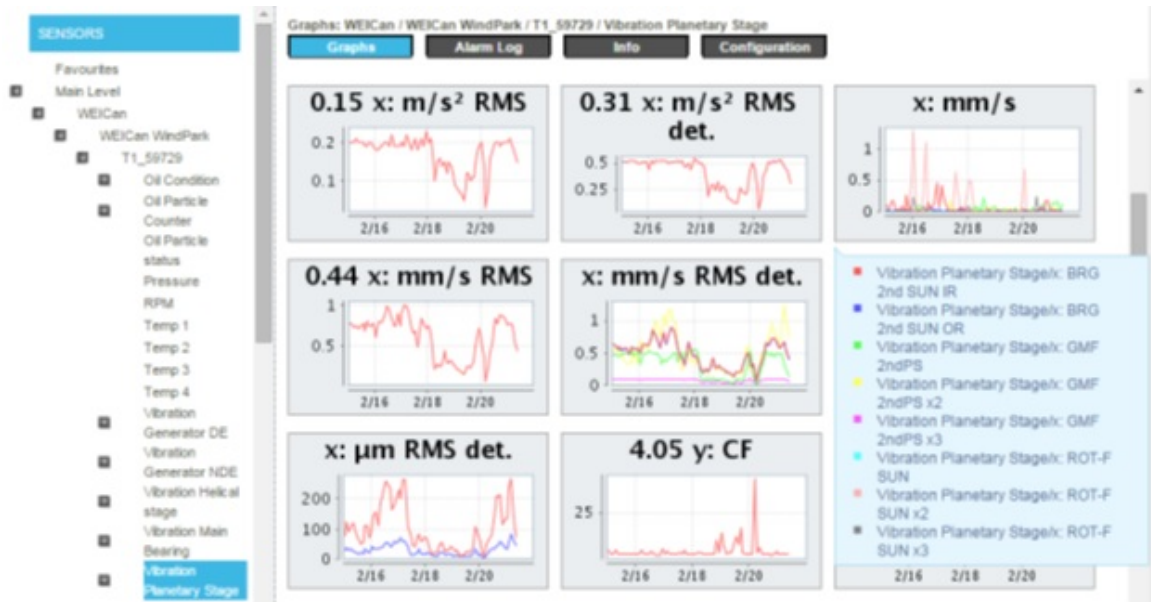


Figure 2.6: Moventas drive train condition monitoring web interface

1. Event
 2. Critical
 3. High
 4. Warning
 5. Notice
- In critical and above the system automatically sends a text message or email to the responsible person. If someone acknowledges an alarm, his or her name will be recorded in the system.

Implementing an alarm

Alarming events are needed to be entered to the SCADA following steps.

1. Tag (data point) must be created
2. An alarm Tag is made associated to the tag created in the first step

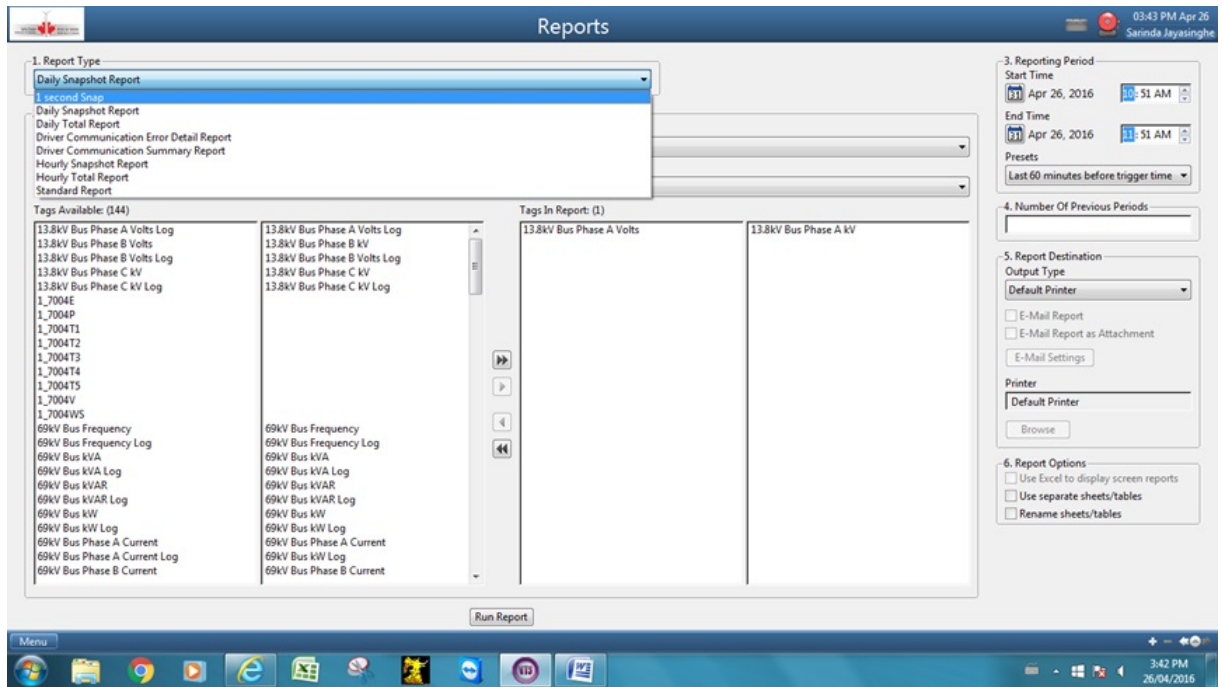


Figure 2.7: Implementation of reports

3. The criteria of the alarm condition within the alarm tag
4. Destination of the alarm condition must be entered (screen, email, SMS, etc)

2.2.3 Reporting

Reporting is also an important aspect of a SCADA system. Reports are generated when the time period is selected from the GUI. Figure 2.7 shows a reporting interface of VTS.

2.3 Data Logging / Data Redundancy

When it comes to data logging, WEICAN uses their servers to log data. Not only that every data generating point they have installed data loggers with backup power supplies which provide data redundancy to the system.

All SCADAs are written in such a way that when the network is broken data logging parts are done in their storage, and it will be communicated to others such as VTS when the system is fixed. This case is same in the power failure too. For this kind of a scenario, where the network got failed due to power failures in routers and other network equipment and data loggers near to data originating points log data (these apparatus are provided with backup UPS system which can operate for 4- 5 hours).

2.3.1 Data Operations

Most analysis is done using Excel (for example to generate a power curve we developed a spreadsheet with many macros to create a power curve according to IEC 61400-12-1 Power Performance Measurements of Electricity Producing Wind Turbines) [30]. They also import data into other software such as Windographer and WindPro to do analysis and generate reports. Sometimes students/visitors use MATLAB and their software. On average it can estimate about 1 hour per week will be used for data transferring from data loggers. About data sampling, representative data sampling is 1 Hz, storage backup is done daily/weekly when the project is active, storage backup is manually backup every month to external hard drive.

2.3.2 Networking/ Protocols

In WEICAN, they use fiber optic, Ethernet, and WIFI (only in T5 for the blade conditioning system, between nacelle and hub) for networking.

TCP/IP

- Transmission Control Protocol (TCP) and the Internet Protocol (IP) are networking protocols.

DNP3

- Distributed Network Protocol (DNP3) utilized between components in process automation systems. Utilities such as electric and water companies are using this protocol.
- Uses for communication between wind turbine and the SCADA and also for communication between Maritime Electric Company, Limited (MECL) and SCADA

Modbus

- Modbus is a serial communications protocol [30].
- Communication between battery energy storage system and the SCADA

IMC/Canbus

- (T5 MET mast) Inter-Module Communication (IMC) protocol is a message-oriented protocol. This protocol is mainly used for real time applications.

EtherCAT

- EtherCAT is a highly Ethernet network protocol.

SEL Fast messaging

- The SEL Fast Messaging protocol allows the SEL-3530 RTAC to communicate directly to SEL relays and communications processors.
- Uses for communication between substation devices and the SCADA

Table 2.1: **Costs Associated with SCADA in CAD [30]**

System	Description	Installation	Operational	License	Maintenance
Campbell Scientific	WEICAN site	150,000.00	2,000.00	100.00	1,500.00
ION Meter	Power meters	60,000.00	2,000.00	750.00	1,500.00
DeWind	Turbine data	100,000.00	5,000.00	3,000.00	2,000.00
BESS	Battery systems data	50,000.00	2,000.00	-	-
VTScada	BESS, DeWind and Substation	50,000.00	2,000.00	1,500.00	1,500.00
IMC	T5 MET mast and strain gauges	250,000.00	10,000.00	1,000.00	1,500.00

2.4 Costs Associated with SCADA

2.5 Controlling

Controlling is another aspect of a SCADA. However, in most of the SCADA systems in WEICAN do not have that facility. VTScada has controllability up to some extent such that it allows to open and close breakers and to isolate the grid.

2.6 Security

All their system is behind their main firewall system (CISCO ASA 5510), every port is closed on the firewall and only opened when needed by clients for their software/data retrieval.

2.7 Issues with existing SCADA

- System is not redundant

- To improve the reliability, they replace computers every three years
- Data backup is manually done
- Each system has vulnerability if it's main computer crashes and needs to be replaced. Not having redundancy, but they are very technical and can get a replacement system very quickly and replace.

2.8 Conclusion

From the analysis of different SCADA systems in WEICAN, following main features have been identified,

Monitoring

- Allows to monitor system in real time
- Allows the user to view historic data in different intervals
- Allows to generate reports based on past data
- Alarms the user in predefined events

Control

- Let the user to control the device through a GUI
- Automatic controlling is given at possible scenarios

Security Security of the inverter is improved through different approaches,

- Adding different authorization levels

- Using a firewall
- Using locally installed servers for all operations

Redundancy: Data redundancy is achieved by two different methods,

- Adding redundant data storages
- Adding data storage near to the remote SCADA stations.

Identification of these features are vital to the rest of the thesis. When selecting a low-cost SCADA system these features were kept as evaluation criteria. Additionally, while developing a SCADA system in chapter 4, these features were embedded into the system.

Chapter 3

IoT Hardware-Based Low Resource and Limited Storage SCADA Systems

3.1 Introduction

This chapter presents low-cost hardware based, moderate resource and limited storage SCADA systems that can fulfill the primary objective of the research. This study is being conducted to identify the best available technology that utilized for remote monitoring and control of energy storage. A testbed is developed with an inverter and DC voltage sensor and a DC current sensor with a relay to disconnect from the DC side. This chapter consists of two main parts. In the first part, available options for the client side is discussed by the author. In the second part, options for the server part are documented, and at the end of the chapter, both client and the server parts are being compared.

3.2 Background

From the economical perspective cost of the system can be identified as one of the most critical factors. As a part of the research, a survey has been carried out in WEICAN to find out more details about commercially available SCADA systems [30].

In the research institute, VTscada is used for monitoring controlling of wind turbines and battery energy storage system. The initial cost of the system for 1,000 I/O tag Development Runtime package is about CAD 5,595.00 [32]. For maintenance and emergency support 20% annual fee is charged for the initial price. In this chapter that cost is being taken as the baseline. And security can be recognized as one of the most critical factors to be considered in smart grids. IEC 62351 defines cybersecurity for communication protocols. However, the security of the developed system is not tested following this IEC 62351. Instead, going through someone else's server and having open ports or not are considered [31].

In most of the literature, LabVIEW based monitoring and control methods are being used [33]. M.N. Ashraf et al. [33] presents a framework of Intranet-SCADA using LabVIEW based data acquisition and management. Additionally, it describes the configuration of remote terminal units to access and transmit real-time data over the Intranet or Internet[33]. The main disadvantage of this method is to use LabVIEW, because of its costs.S. Anam et al. [11] provide a good overview of the evolution of SCADA technologies along with highlighting the security challenges of critical infrastructure (CI), also provides the existing best practices and recommendations for improving and maintaining security. Additionally, it offers a reasonable explanation on IoT based SCADA system. A review IoT based monitoring and control systems have far more superior qualities such as natural maintain and easy to integrate [34]. Connecting the inverter to the SCADA can be recognized as the critical part of this

research. There are some ways to connect where in most of the literature for low-cost SCADA systems PIC based data acquisition systems are used [7]. The main drawback of PIC based systems is that, unlike Arduino based systems, it is more difficult to connect to new peripherals.

3.3 Low Cost Client Side Options

As shown in the Figure 3.1 in this section, options for remote SCADA stations are considered. Three main client platforms are used, namely ESP12E DEVKIT V2 based client, Arduino and Wi-Fi shield based client and Raspberry Pi based client (RPI).

3.3.1 12E based client

ESP-12E is a UART-Wi-Fi module, with very modest prices in the trade and ultra-low power consumption technology, designed especially for mobile devices and IoT applications [8]. User's physical device can be connected to a Wi-Fi wireless network, Internet or intranet communication with networking capabilities. ESP12E can be programmed with Arduino IDE. It can directly work as a Server itself or connect to WiFi and send data to remotely located server with little cost and high processing speed about 80 MHz (160 MHz maximum) where Arduino Mega has only (16 MHz). This module has 4 Mb flash memory as well. But there are few drawbacks such as it has just one Analog input because of that a multiplexer is used to overcome this issue (SN 74LS151N). The major drawback is its low WiFi range. The total cost of the client side is CAD 15.00 [35].

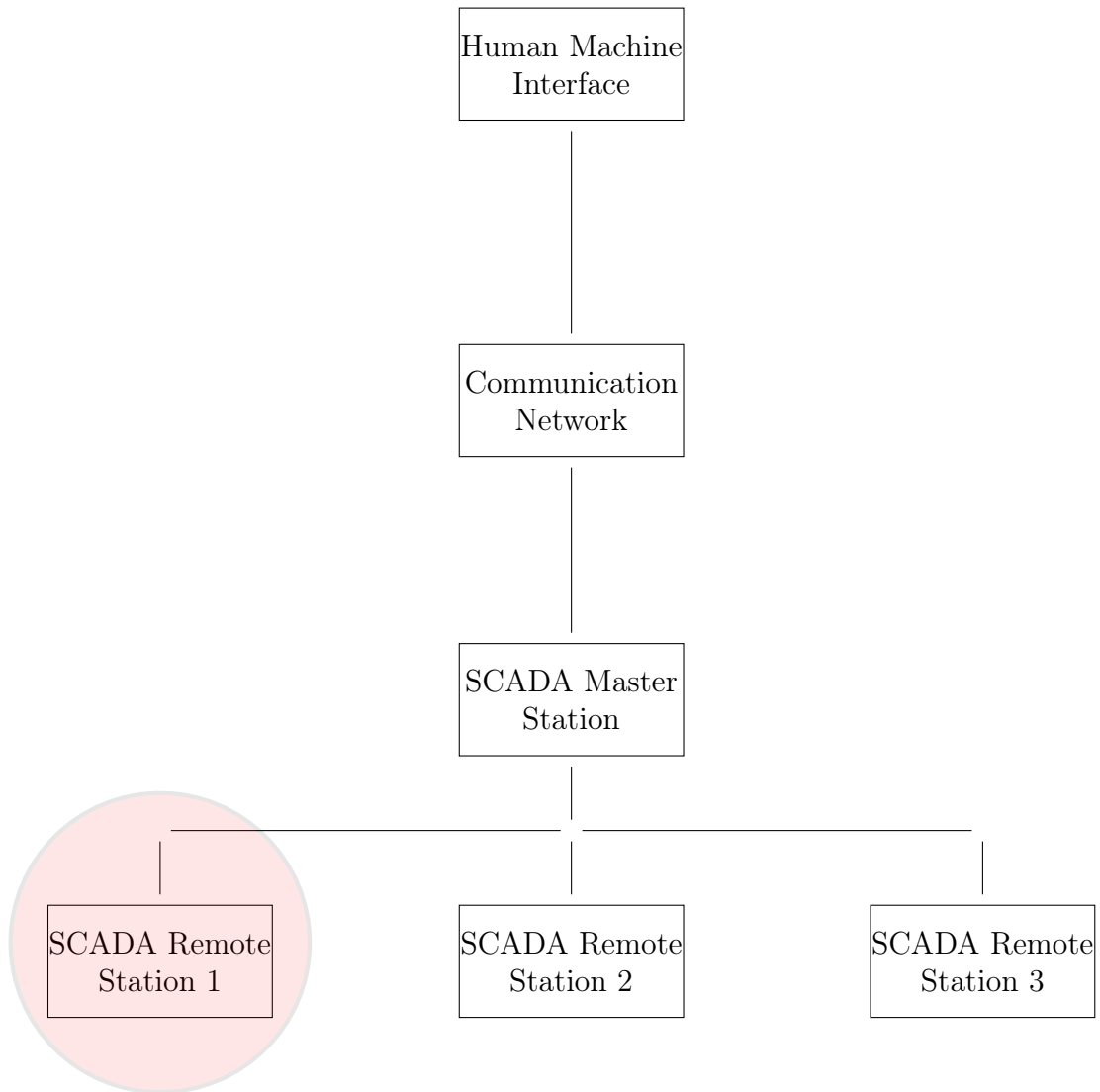


Figure 3.1: Client Side

3.3.2 Arduino and Wi-Fi shield based client

In this case, Arduino UNO is used along with an Arduino WiFi shield. All drawbacks mentioned with the ESP12E are resolved with this. However, system reliability depends on individual reliabilities as a system the reliability would be lower than individual components. Major drawback comparing to ESP12E is the cost of the system where the Arduino UNO will cost about CAD 40.00 [35] and WIFI shield cost CAD 130.00 [35] where the total cost is about ten times (10X) than ESP12E.

3.3.3 Raspberry Pi based client

Raspberry Pi 3 is also an excellent option which is cheaper and reliable. But the cost is again fifteen times more than ESP system when we include keyboard, mouse, monitor, and power. As a part of the project, RPI 2 is tested with WI-FI adapter and Ethernet where Arduino MEGA is working as the primary analog reader and data transmitted to the RPI over SPI. In their paper A. D. Deshmukh et al. [36] use RPI based system for monitoring purpose.

3.4 SCADA Server Options

SCADA master station shown in the Figure 3.2 is considered as the SCADA server. As mentioned in the introduction there are four main options tested in this research. Remote desktop connection with local data storage, open source SCADA software, IOT service provider and private IoT server are the four options considered.

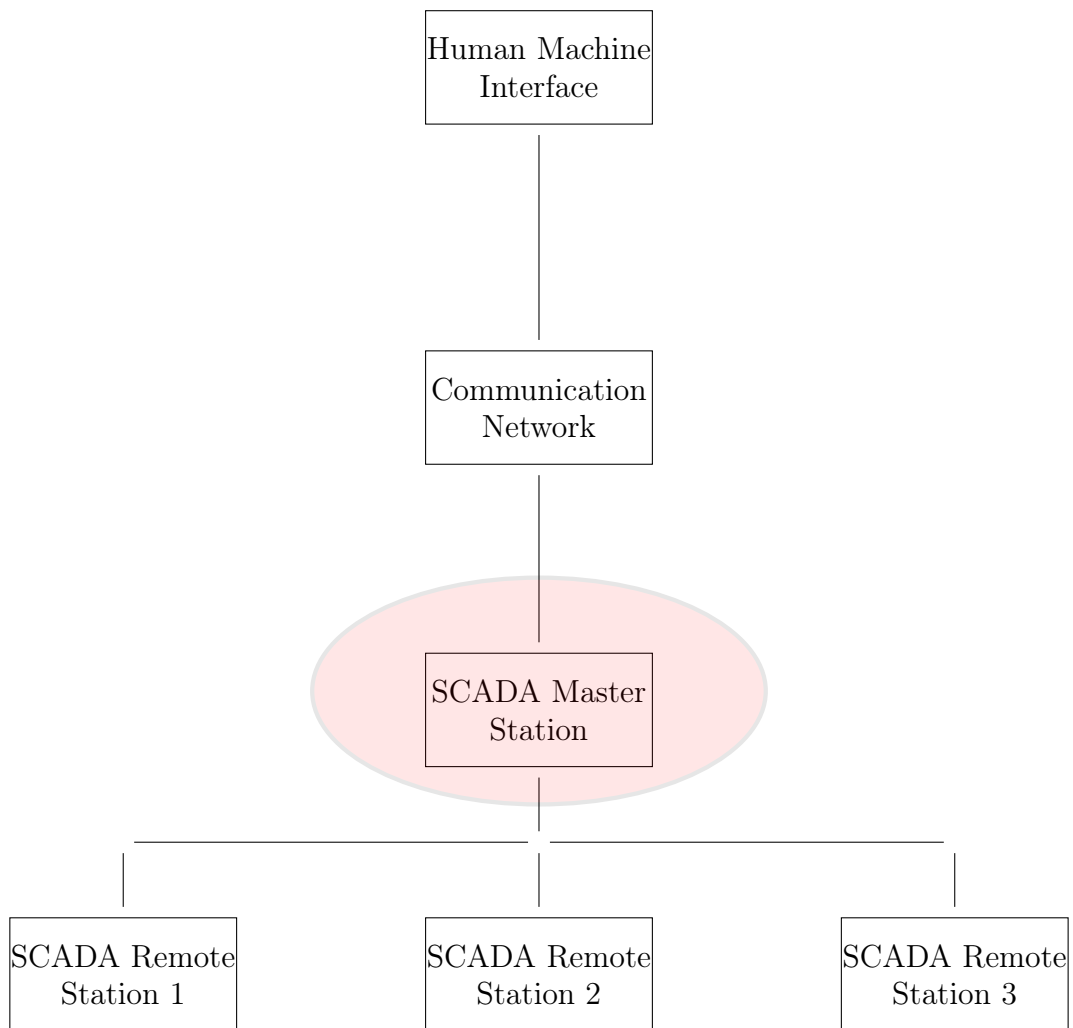


Figure 3.2: Server Side

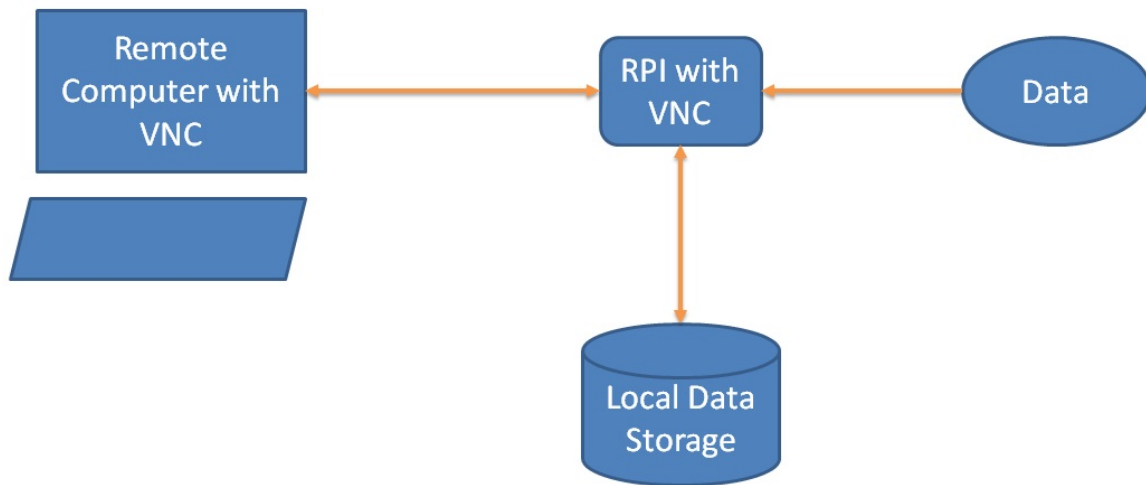


Figure 3.3: Remote desktop with local data storage

3.4.1 RPI server with local data storage

This is the first and the easiest option out of four server-side options that are being discussed in this section. In this method, remote desktop software like TeamViewer, VNC, and Chrome Remote desktop has been used to access the client side computer. However, security wise this option treated as the last out of four main options. Another drawback is that this approach needs high bandwidth for remote desktop communications. Figure 3.3 shows block diagram for this method.

3.4.2 Open Source SCADA software

Use an existing open source SCADA has been used to develop a suitable SCADA using it. RapidScada, PV browser, Mango are several examples of open SCADA systems. M. S. Almas et al. [37] show how to use SCADA BR for monitoring and limitations such as it takes only two points per second (maximum resolution) when plotting the data

For this research, Rapid SCADA has been tested. It is good to be used with standard protocols like Modbus and OPC, but for developmental purposes, it is not

flexible. Especially, when it is used with proposed clients like Arduino, ESP12E, and RPI, it takes more time to implement protocols. Security of this system can be quickly improved by closing all ports and use inside the intranet. Unlike remote computer-based system, since data stored inside the intranet, there is no need to open ports in this method. Therefore, when it comes to security concerns, this option shows highly secured.

3.4.3 IoT service provider

For last few years, internet connected many often referred as internet of things. In IoT based SCADA systems with sensors and actuators, communications based on a separate IP address each connected to a cloud. Most importantly this decentralized approach allows sensors and actuators to communicate with each other and take their own decisions and it provides autonomy to devices. When it comes to a broader picture, IoT also allows centralized data management for larger networks wherein conventional SCADA systems central data handling is complicated. SCADA systems which are not capable of communicating together makes the involvement of a lot of human effort to collect data from one SCADA and feed some of those data to somewhere else. IoT helps to eliminate this problem entirely where all sensors can feed their data to the cloud, and the cloud platform does all the calculations like machine learning, trend calculations, etc. Devices connected to power systems need such sophisticated SCADA than anything else because of the complexity. Conventional SCADA has been used for remote controlling and monitoring of an inverter with above-described drawbacks.

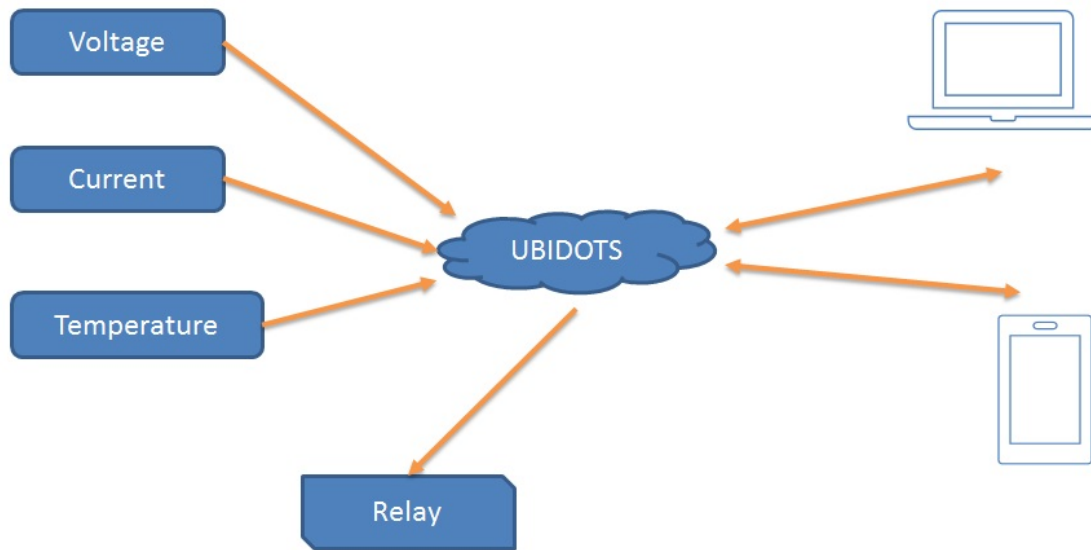


Figure 3.4: Data flow diagram for UBIDOTS based approach

UBIdots

There are many cloud service providers in the world such as IBM Watson IoT [38], Artik Cloud [39], GE Predix [40] and Google Cloud IoT [41]. UBIDOTS is one of the cloud service providers that offer this service. UBIDOTS gives free plan which enables to connect five devices, and it keeps up to 0.5 million data point for three months under free plan [42]. Therefore, UBIDOTS is selected. UBIdotsAPI offer developers to connect their devices to the internet. Figure 3.4 shows the block diagram of UBIdots based approach.

Thingspeak

Thingspeak is also an IoT platform like UBIdots, and that even allows the user to send data per every 15 seconds. And the user will be able to visualize and analyze live data in the cloud instantly. The main advantage is that it allows integrating Mathworks (Matlab) account and the thingspeak account so that user will be able to

use features such as machine learning platform from Matlab [43].

Blynk

Blynk is a platform that allows the user to control their Arduino, Raspberry Pi, ESP12E boards using a mobile phone app. Both Android and iPhone users can use the Blynk app. Implementing this method is easy. However, this is also going through a third party server. Therefore, data security and privacy will become an issue [44].

3.4.4 Private IOT server

Though it is easy to implement above methods, it will be unsecured to use someone else's IoT cloud or a server. Therefore, in this fourth and final approach, open source private IoT servers are tested.

Blynk private server

In this method, Blynk server will be installed locally. It gives most of the features on the local server as well. Most importantly in this technique data will be securely stored in a local server and data will not be following through third party server. The main drawback of this method is that Blynk provides only mobile interfaces. And the other issue is that from the client side, the user cannot make any changes to the program because the device can just be programmed using the Blynk mobile app. Implementation is simple. Figure 3.5 shows data flow diagram for the private Blynk server.

Netlab toolkit private server

Netlab toolkit or NTK is also an HTML5 based platform. The user can locally install the server. If the user uses Arduino, the user must use Firmata Standard

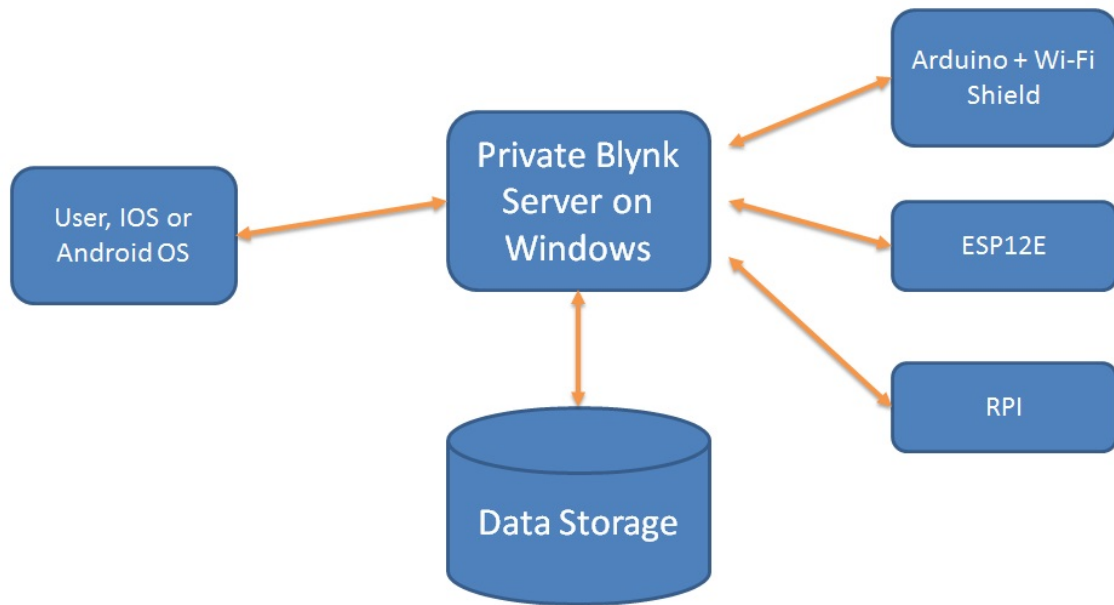


Figure 3.5: Block diagram for private Blynk server

program. But unlike Blynk, the user can use other pins after allocating data I/O pins accordingly. The main drawback of this system is that they do not have any method to store data. The user must use a separate database (that part has not been tested as a part of the chapter because it is out of the scope). Communication is done through the serial protocol, and that can be recognized as the other main drawback of this system.

Thingspeak private server

This is the most sophisticated and secured method out of all practices discussed throughout the chapter because, locally installed thingspeak server allows the user to store data at any frequency. Unlike thingspeak.com server, the user can update data less than 15 seconds. Though some features available on the thingspeak.com server is not available on the local server, this server can be used for monitoring purposes. The main drawback with a local thingspeak server is that they do not provide a method

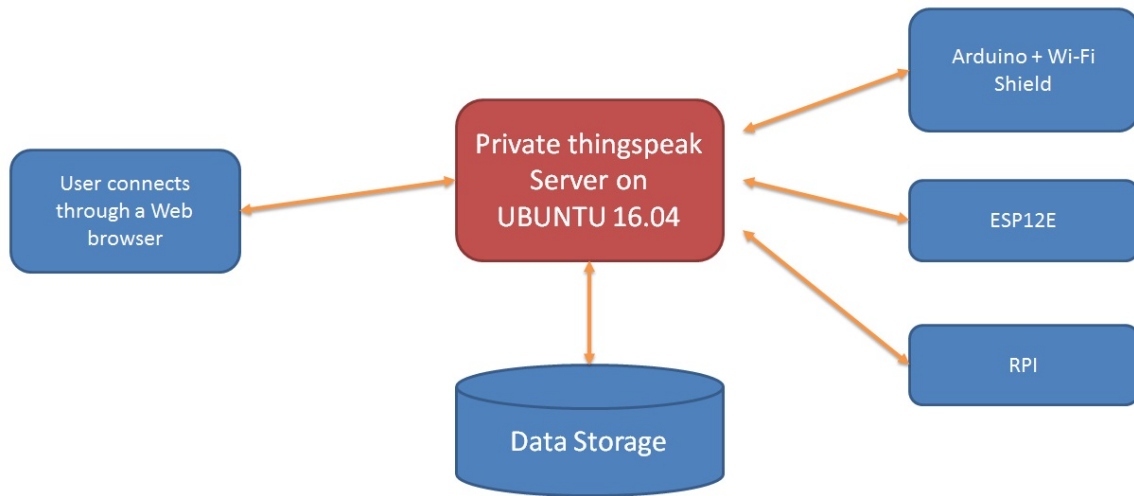


Figure 3.6: Block diagram for private thingspeak server

to control equipment with the local server. The user needs to develop a separate program to control devices, for example to on/off the inverter. Figure 3.6 shows data flow diagram for the thingspeak private server based approach.

3.5 Comparison

The main objective of this research is to develop a low-cost SCADA for remote control and monitoring of inverters. In the first part of chapter three different options for the client side is being tested and in the second part, four different options for the server side are being discussed.

Table 3.1: Comparison of client side

Method	Cost (CAD)	Drawbacks
ESP12E	15.00	Weak WiFi signal, 3.3V
Arduino+WiFi	150.00	Low Memory and slow, Larger in size and need more power
RPI	200.00	Reliability depends on the SD card reliability, 3.3 V pins, no analog I/O

client side: From the client side, the main requirement would be to have low-cost equipment because some equipment will be proportional to number of inverters that will increase the cost of the client side with the number of inverters in the population. The reliability of the component is also important. The comparison which has done based on those two factors shown in the table 3.1.

server side Server-side is crucial for a SCADA system. The main idea of the server side is to log data and visualize data when requested by an authorized user. Therefore, the server should be competent enough to store data securely. In addition to that, the other main objective of the server side is to convey the command sent by the user to the client.

In table 3.3, four available approaches for the server side are being compared and tabulated concerning security, ease of installation, ease of using and ease of adding new components. In this case, security will become the primary concern because sensitive data flows might be flowing. Therefore, going through third party server is not a good option to be considered.

When it comes to private server, most important thing is easy of using and ease of adding new components. In Table 3.2 available options for private IoT server are tabulated.

Table 3.2: **Comparison of private IoT servers**

Method	Installation	Ease of Using
Blynk	Easy to install, need Windows computer	Easy to develop, but only through mobile app
Netlab Tool Kit	Easy to install, need Windows/Linux computer (tested in Ubuntu 16.04)	Easy to use and configure but no GUI
Thingspeak	Difficult to install, need Linux computer	Easy to use in a web browser

Table 3.3: Comparison of Server Side

Method	Security	Installation	Ease of using	Adding new components
Remote desktop connection with local data storage	using someone else's server for remote computing is an issue	Easy to install	Easy to use	Need a special method
Open SCADA software (RAPID-SCADA)	High security	Medium complexity	Only support few protocols (OPC, Modbus)	Easy to add new components with same protocol
IoT service provider	For most of these server user has to pay after certain data limit			
Ubidots	Data flows through someone else's server is an issue	User only has to create an account	Easy to connect components with internet access. They provide necessary code as well	User has to pay for more than 5 variables. Easy to connect
Thingspeak	Data flows through someone else's server is an issue	Account would be enough	Easy to use and easy to analyze data through Matlab	Easy to connect new components
Blynk	Data flows through someone else's server is an issue	Easy to install the app and create an account	Easy to use but only through mobile app, not user friendly	Easy to connect new components
Private IoT server	Secured because of the private server is an issue	Difficult to install	Easy to use, most of variable limitations and data point limitations are avoided	Easy to add new components.(There is no need to have internet, Intranet would be enough)

3.6 Conclusion and Future Works

There are some options available when developing a SCADA system for an inverter. The primary objective of this chapter is to find out the best possible method among all available techniques. Mainly chapter has two parts as client and server. Three main approaches are being tested for the client side and, advantages and disadvantages of each system are discussed. Considering all advantages and disadvantages ESP12E based system can be recognized as the best option for a client which will gather data and feed into a server. As the next step, four significant approaches being tested to identify the best approach. At the end of the chapter, all methods are compared regarding security, ease of installation, ease of using and ease of integrating new components. Considering all facts thingspeak based local server has been identified as the best available option for the server side. Though ESP12E based client and thingspeak based server are selected, there are some inherent drawbacks attached with both systems. As future works developments can be carried out to improve reliability and security plus improve controllability using a thingspeak local server.

Chapter 4

SCADA system Based on Private thingspeak Sever

4.1 Introduction

The primary objective of this chapter is to demonstrate the development of a low-cost open source SCADA system with sophisticated features adopted from commercial SCADA systems for power converters. Work presented in this chapter was presented at NESTnet annual conference [26].

As a part of the research, a survey has been carried out regarding SCADA software that is being used in WEICAN to find out more details about commercially available SCADA systems that can be used for monitoring and control of inverters. In the research institute, VTscada is used for monitoring controlling of wind turbines and battery energy storage system. Considering the cost of the system the Initial cost of the system includes 1,000 I/O tag Development Runtime package is about 5,595.00 CAD. And for maintenance and emergency support 20% [32] of the annual fee is charged from the initial price. The following features are being offered:

- It allows users to log data for monitoring purposes
- Sends email alerts for defined incidents
- Views data in time intervals
- It gives trending data
- Generates reports
- Allows sending control parameters

This chapter discusses IoT based approach to achieve the primary objective of a conventional SCADA system that is to communicate with sensors and actuators and with the Internet with a separate IP address when compared to a cloud. This approach is designed based on IoT architecture as cloud assisted SCADA systems.

Most importantly, the decentralized approach in IoT architecture allows sensors and actuators to communicate with each other and take their own decisions, and it provides autonomy to devices. When it comes to the macro picture, IoT also allows centralized data management for larger networks wherein conventional SCADA systems central data handling is complicated. In research facilities like WEIcan [30], they use several SCADA systems which cannot communicate together, and that needs the involvement of a lot of human effort to collect data from one SCADA and feed some of those data to somewhere else. IoT helps to eliminate this problem entirely where all sensors can feed their data to the cloud, and the cloud platform does all the calculations like machine learning, trend calculations, etc. Or else the thingspeak server can act as a facilitator for other SCADA system using communication between each other.

4.2 Background

4.2.1 SCADA systems for Monitoring and Control

Security of SCADA systems

Security of a conventional SCADA In the field of security of SCADA systems B. Zhu et al. [16] present the differences between SCADA systems and standard IT systems and also discuss how to identify the danger engaged with the SCADA and how to protect SCADA. To do so, this article presented set of security property goals as well [16]. Also, this article described a way of identifying possible cyber-attacks like cyber-induced cyber-physical attacks on SCADA and how to measure and safeguard SCADA system from such attacks by measuring the impact on SCADA. Moreover, this gives a comparison between SCADA and traditional IT networks, how the attacks affect such systems using methods like attack categorization and comparing important feature and commonalities of such attacks. The Authors have set several security goals or feature that should be included in a SCADA system,

Security of IoT SCADA systems: In their work on the security of cloud assisted SCADA systems A. Sajid et al. [45] highlight the security challenges that the industrial SCADA systems face in an IoT-cloud environment, and present attributes to be taken into consideration in IoT SCADA systems.

- Data integrity and privacy
- Data logging
- Ownership
- Authorization and encryption

The requirement of a low-cost SCADA system for monitoring and controlling of power converters is identified as the main problem which is addressed in this chapter. Based on the results from the chapter 3, there is a requirement to develop a local cloud assisted SCADA system. Figure 4.1 defines the scope of this chapter.

4.2.2 Low-cost SCADA for controlling of energy storage

DC/AC power converters used in energy storage systems necessarily need to be controlled and monitored. Though there are many SCADA systems available in the market, the cost of the system has become a barrier. Results from the research which has been carried out to compare different SCADA systems and low-cost open source SCADA options available for remote controlling and monitoring of inverters are published in CCECE 2017 [12]. As the conclusion of that paper thingspeak based local server that is being installed in a Ubuntu 16.04 has been identified as the best available solution for the problem. This chapter describes the developmental work that has been done to use the server for monitoring and controlling of an inverter while providing all the features of the commercially available software.

4.3 Methodology

4.3.1 Description of the SCADA

As illustrated in Figure 4.2, the server is installed in a X86 computer with Ubuntu 16.04. The developed SCADA mainly contains the following functions,

- Communication with the inverter
- Data logging
- Presenting data to the user

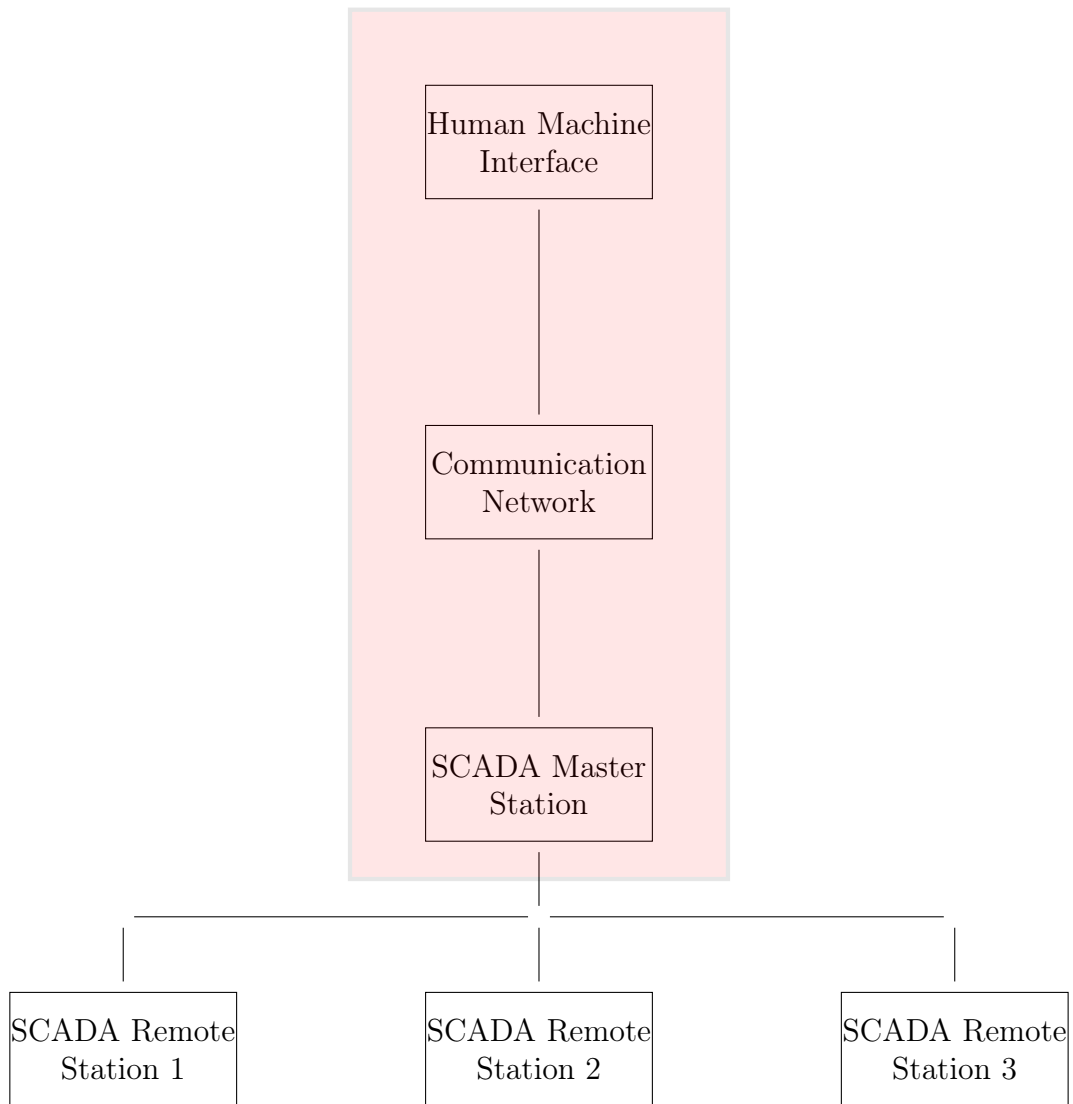


Figure 4.1: Scope of the SCADA

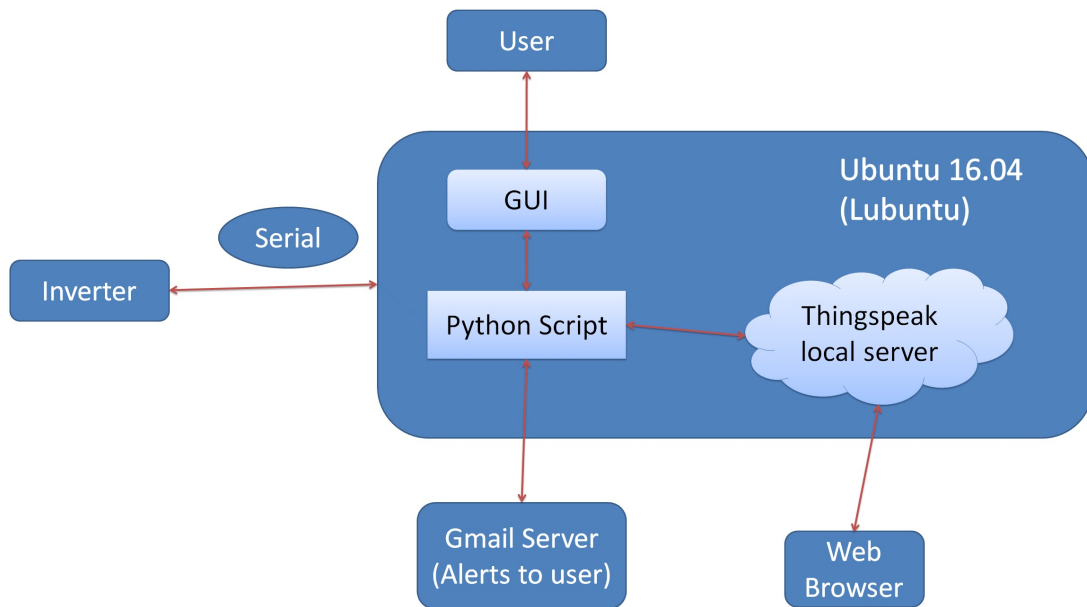


Figure 4.2: Block diagram for the Inverter SCADA

- Send email alerts at critical conditions

To achieve those decried functionalities three principal components are used.

Python Script

This python based script which is shown in the Appendix B is written to get data from the serial interface and write data on the local server and update those values on the GUI. For this application, a specially developed protocol has been used, and the same algorithm can be used with any other specific protocol as well. Figure 4.2 shows the block diagram for the algorithm and algorithm 1 shows the main algorithm. The following libraries are being used in the development.

- **serial:** library has been used for serial communication between the inverter and the server computer.

Algorithm 1 Main Algorithm

```

1: import Libraries
2:  $ser \leftarrow serialPort$ 
3:  $URL \leftarrow thingspeakURL$ 
4: procedure SERIAL THREAD
5:   Read Serial data
6:   Put data to the queue
7: end procedure
8: procedure APP
9:   procedure INITIALIZE GUI
10:    Define labels , buttons and etc
11:    Position elements
12:   end procedure
13:   procedure UPDATE
14:     if Set reactive power then
15:       Read value from the label and update set value and write on serial
16:     end if
17:     if Set real power then
18:       Read value from the label and update set value and write on serial
19:     end if
20:   end procedure
21:   procedure UPDATE EMAIL
22:     Send email using SMTP
23:   end procedure
24:   procedure PROCESS SERIAL
25:     while True do
26:       Get data from the queue
27:       Split data
28:       Assign data to variables
29:       Convert hex to dec
30:       Show data on the GUI
31:       Upload data to local server every one second
32:       if voltage or current values exceeds limits then
33:         Send email alert and show on GUI
34:       end if
35:       Read control variables from the server
36:       Write control command on serial
37:       Upload data to the server in every 5 seconds, 1 min, 30 min and 1 hour
38:     end while
39:   end procedure
40: end procedure

```

- **requests:** library has been used for communication with the local thingspeak server.
- **threading:** gives flexibility to work with the GUI.
- **tkinter:** is used for GUI creations.
- **smtplib:** is used for automatic email alerting system.

Graphical User Interface

Figure 4.4 shows the GUI developed for the system. It has three main sectors, monitoring, controlling and alerting.

Monitoring: Under monitoring, it shows values sent by the inverter. Voltage, current power, and the status are being sent by the inverter. Power factor and the Total energy are also shown on the GUI.

Controlling: Under the controlling it allows the user to set real and reactive power. And it also allows the user to increase the power values by 0.5.

Alerting: In alerts, if voltage and current values are greater than some value it appears as **High**, and if those are within the range, it shows as normal. The alert must be manually cleared.

At the bottom of the GUI inverter version and the current time is displayed.

Thingspeak local server

Thingspeak is an IoT cloud platform that allows users to send data in every 15 seconds for free. The user will be able to visualize and analyze live data in the cloud

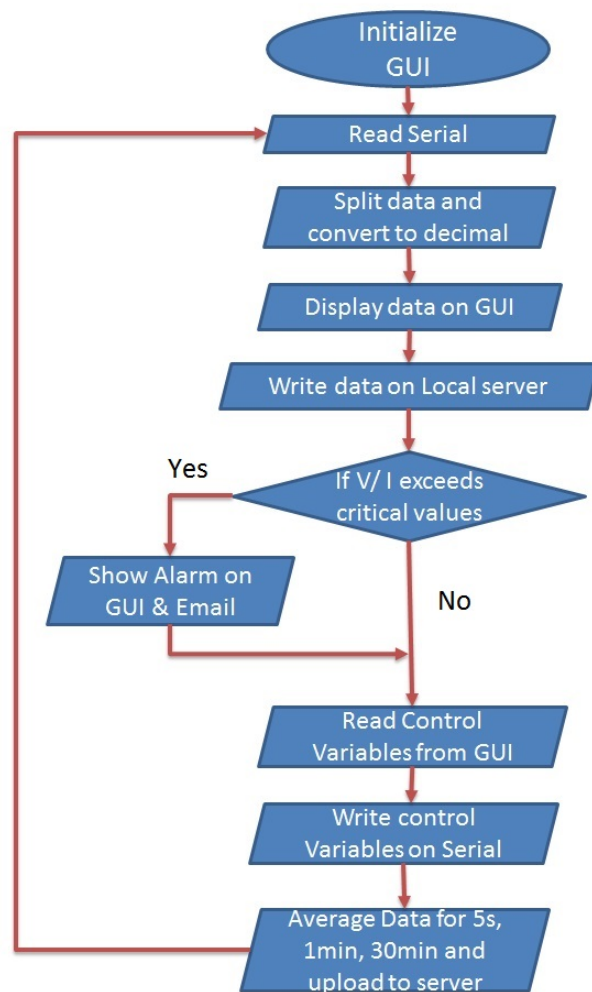


Figure 4.3: Block diagram for python script



Figure 4.4: GUI for the Inverter SCADA developed in python

instantly. The main advantage is that it allows integrating Mathworks account and the thingspeak account so that the user will be able to use features such as machine learning platform from Matlab.

As per developers, thingspeak is an open source IoT application and API to store data from things using HTTP protocol. In this SCADA system thingspeak local server has been installed in an X86 architecture-based computer. In this case, there is no frequency limit, and the local server allows the user to send data even at a higher frequency. Figure 4.5 shows data sent to the server, for one second period.

4.4 Key Features of the Developed SCADA

After completing the SCADA, it provides the following features to the user.

4.4.1 Monitoring

As mentioned earlier, the server allows the user to upload data at any frequency and in this case data are being uploaded to the server at 1 Hz. Additionally, the GUI shows instant data while the user uses web server to view historic data in 1 s, 5 s, 1 min, 5 min, 30 min, and 1 hr. The following parameters are being monitored.

Voltage (V): Voltage is displayed in volts. There is no maximum value.

Current (A): Current is displayed in amperes and there is no maximum value for that as well.

Power (kW) Power values are displayed in kW. All three values are taken from the data string coming from the inverter, and following values are calculated from the acquired values.

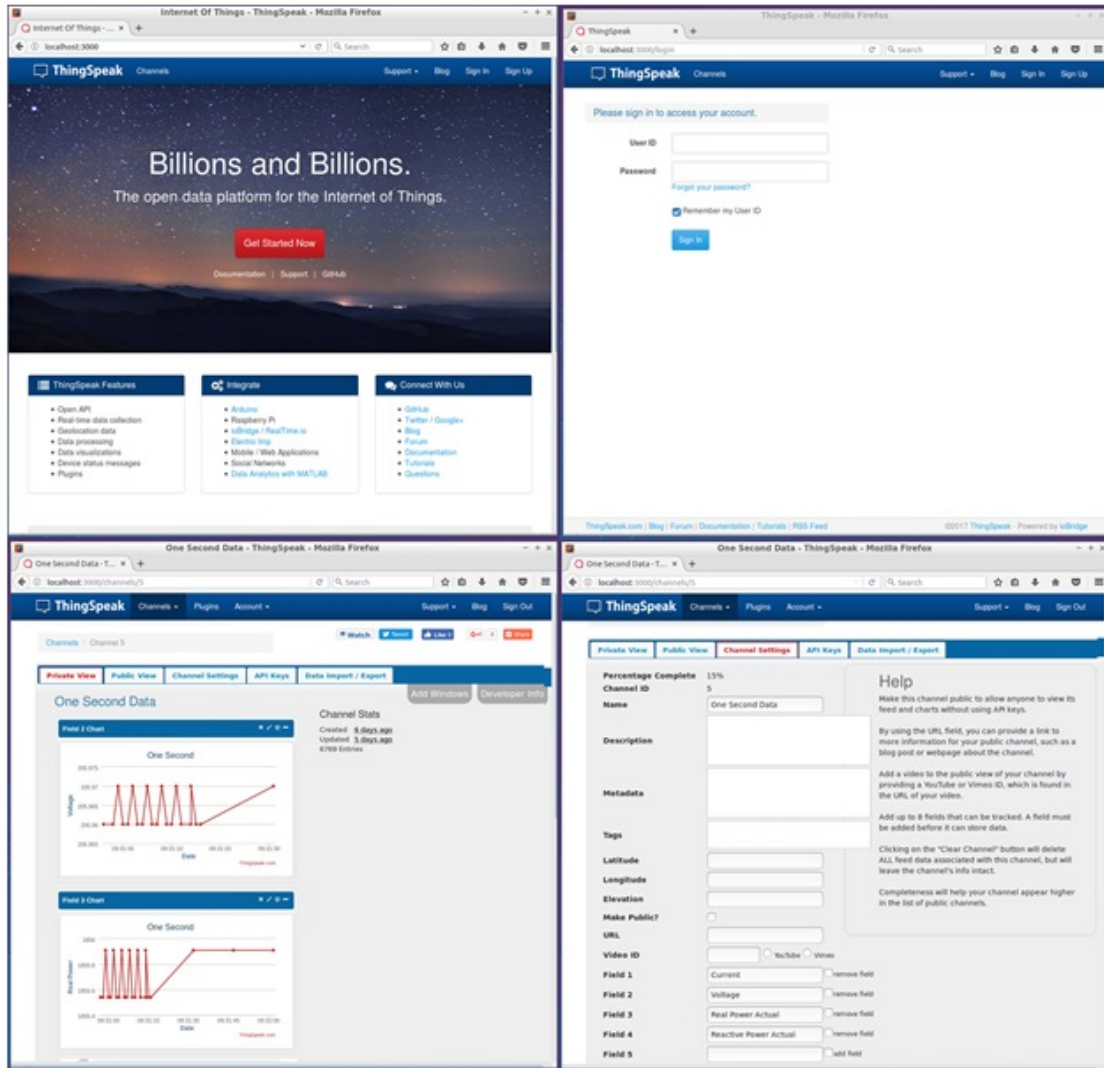


Figure 4.5: Thingspeak interface

Power Factor: Power factor is calculated using basic power factor equations 4.1 and 4.2.

PF : Power factor

P_r : Real Power

P_{app} : Apparent power

V Voltage

I : Current

$$PF = \frac{P_{real}}{P_{Apparent}} \quad (4.1)$$

$$P_{Apparent} = V \times I \quad (4.2)$$

Energy (kWh) Energy is displayed in kWh and is calculated using the equation 4.3. The energy displayed is the accumulated energy from the last restart of the inverter and value is shown in kWh.

E_t : Energy at time “t”

P_t : Power at time “t”

Δt Time interval between two successful power readings

$$E_n = E_{n-1} + P_t \Delta t \quad (4.3)$$

4.4.2 Controlling

Control access has only been given through the server computer due to security reasons. The person who can log into the server computer using an authorized username

and password will only be able to update controlling parameters. The authorized person will be able to set real power, and reactive power values and that person will also be able to increase or decrease the set value by 0.5 for smooth operation.

4.4.3 Reporting and alerting

The user will be able to download data at 1 sec, 5 sec, 1 min, 30 min and 1-hour interval data sets while it is advisable to download lower frequency data reports such as 1 hour and 30 min due to the high sizes of files. When it comes to alarming and altering, the main program monitors data values and if current values are higher than a specific value and it sends an email alert to the user mentioning that the current value is high. The same logic is applied for the voltage as well. One hour data is kept public so that everyone on the local area network can view 1-hour data. However, only an authorized person will be able to see other data levels.

4.5 Testing

As mentioned in the introduction this research is being funded by the NSERC Energy Storage Network fund. Under the theme 2 with the objective of development of power converters for Energy Storage systems, the project 2.4 which is conducted in the MUN is to develop low cost SCADA system for power converter developed in the University of New Brunswick (UNB).

At the end of the development of the system, it has been tested with the inverter developed in UNB Sustainable Power Research Lab. They are using a DSP2407A board for communication purposes with the inverter and that has a RS232 port for UART communication. The inverter side of the developed communication channel has been connected to the serial port. Data string sent by the inverter according the



Figure 4.6: Inverter Developed by the UNB

protocol shown in the Appendix A has been successfully decoded by the system and communicated to the other side through the LoRa link.

Decoding:

Sample data string:

55AA0200000064BF07001B4A76001B3E1E00A6009C02400FF71C643B1231

As per the Appendix A first two bytes represent synchronous word and next four bytes represent the status. Where next twelve bytes represent data as current, voltage and power respectively in four bytes. Then number of samples, maximum voltage and the maximum current value and the version number sent in two bytes each respectively. Following equations are implemented in the python script.

$$Current = \frac{\sqrt{\frac{Current}{Samples}} \times currentmax}{4096} \quad (4.4)$$

$$Voltage = \frac{\sqrt{\frac{Voltage}{Samples}} \times currentmax}{4096} \quad (4.5)$$



Figure 4.7: Testing the SCADA with the Inverter

$$Realpower = \frac{Power \times voltagemax \times currentmax}{samples \times 4096 \times 4096} \quad (4.6)$$

4.6 Results and Comparison

This section consists of three subsections where the first subsection illustrates security features of the developed SCADA compared to a cloud-assisted IoT SCADA. The second subsection features the developed SCADA are compared with a commercial SCADA, and the third subsection shows validation of the optimization algorithm using real data obtained from WEICAN during the visit to the plant by the research group.

4.6.1 Cloud assisted IoT SCADA Vs. Developed SCADA (Security features)

As illustrated by A. Sajid et al. [45] there are few security issues in cloud supported IoT SCADA systems when a third party provides the cloud service. However, in the developed system the cloud is locally installed. The following subsection compares the designed system with a cloud-assisted SCADA.

Data Logging: As mentioned in the [45], security risk related to data logging is high due to logging data in a cloud than logging data locally. This issue has been overcome in the developed Inverter SCADA by locally installing the IoT server.

Ownership: As per the paper when third-party cloud service provider comes into the picture ownership of data can become a problem. That ownership issue has also been overcome by using a local server.

Authentication and encryption: Another issue that has been identified is lack of authentication and encryption. In the developed SCADA system authentication has been assured by username and a password for the IoT server for monitoring purposes. To control the inverter, the user needs to login into the server computer using a separate username and password. Monitoring server and controlling parts are made of two isolated systems, and it has increased the security of the SCADA rather than providing controlling through the same server. Another problem that is emphasized is lack of encryption where an attacker gets the IP address it makes super easier for an attacker to hack into the system. Since this system runs on a local server after closing all ports using a firewall, it will be difficult for attackers to hack into the system.

The developed SCADA is much more secure than a cloud-assisted IoT based system because, in the improved system the server is locally installed and it provides

hierarchical authentication for controlling.

4.6.2 Commercial SCADA vs. Developed SCADA

After developing the SCADA, it has been able to communicate with the inverter (in this case Arduino Uno has been used as a replacement for the inverter with 9600bps), and all claimed features are being tested and achieved in the laboratory environment.

To bench mark the developed SCADA, it is compared with commercially available software that has been used by WEICAN for their monitoring and controlling of their wind farm and the BESS. Table 4.1 illustrates the comparison. Although it is compared with VTSCADA the proposed SCADA is not a replacement for the VTSCADA system. Additionally, proposed system does not have costs associated with any operator panels, alarm hardware and distributed control elements that are part of the VTSCADA system installed on site. During the comparison it is assumed that the developed this SCADA system is provided free to the end user. It is also assumed that the implementation of the proposed system on the side is done for free at 0 installation cost, and thereâ€™s an expert user at site to attend to the system when needed at zero cost.

4.7 Conclusion

This chapter documents the development of a SCADA using an open source Thingspeak local server for monitoring and controlling of inverters. The main features offered by the SCADA system are discussed. It also shows that the security of the system is higher compared to a cloud-assisted IoT based SCADA due to some reasons. Features of the developed system are compared with a conventional SCADA system used in WEICAN and identified that other than the low cost the developed system has

Table 4.1: **Comparison of Invert SCADA with VTscada**

Feature	VTscada	Inverter SCADA
Cost	5000 CAD without hardware	300.00 CAD without hardware, 200 CAD for hardware
Number of data points	1000 data points for the above cost	There is no limitation on number of data points. (It can be limited by the bandwidth of the Intranet, processing speed of the server computer)
Reporting	Allows user to create many reports	Only few reports types of reports are available. But can be developed
Protocols	Modbus, DF1, CIP/ENIP, Siemens S7, Omron Host Link, OPC	Can be developed for any protocol, Currently it only works with the protocol in Appendix A
Training	Special training is needed	No special training is required
Security	System is protected using passwords	Password protected and the controlling is not connected with the server.
Operating System	Windows	Linux (32 bit)
Maintenance cost/ license fee	20 % of initial cost(annually)	Hardware maintenance costs, user maintained

some superior features compared with the commercial SCADA. Finally, the developed SCADA has been tested with using a real Inverter developed in the UNB.

Chapter 5

Control Strategies for Remote Control of a Grid Tie Inverter and Its implementation using Low-Cost SCADA system

5.1 Introduction

The primary objective of this chapter is to develop an algorithm to maximize the profit generated by a battery energy storage which is connected to a wind turbine and to implement the algorithm on a cloud assisted IoT server to automate the dispatching of the battery energy storage. Linear programming based algorithm is developed to maximize the revenue. Accuweather API based novel approach is used to implement the algorithm in a Python based program and uploaded data to a local thingpseak server so that the inverter can obtain data for controlling as well as to convey to the dispatching center.

Predictive wind farming is an emerging topic with the increasing population of wind farms and a grid. It is possible to improve the quality of the power exported by a wind farm by integrating an energy storage system. According to the Global Wind Report, installed wind capacity in 2015 is 432.9 GW around the globe and it is expected to become 792.1 GW by 2020 [1]. Energy storage systems are becoming increasingly popular as a result of cost reduction and improvements in technologies. At the present, the term, energy storage is more often used and many researches are being conducted to develop new methodologies. Many technologies are being used for energy storages where pump hydro dominates as technology. Due to mass production and developments in battery cell technologies BESS are emerging as a promising technology with number of advantages over other storage methods. When BESS installed in a wind farm it will be costly if the BESS is charged when wind turbines are not producing energy. Study has been carried out by Wind Energy Institute Canada situated in Prince Edward Island (WEICAN PEI) using their existing Wind park and the battery energy storage. From the study it shows that when wind power does not exist it is more profitable to use stored energy in BESS for auxiliary power needs. Therefore, depending on the wind availability in the next few hours State of Charge (SoC) of the BESS has to be decided.

Therefore, optimum operation scheduling of energy storage system is most important in an economic perspective because most of the energy storages like battery energy storage systems have a specific number of cycles. In order to get the maximum profit out of it, energy storage needs to be optimally controlled while using each cycle to maximize the profit.

The chapter is organized as follows, Section 5.2 defines the problem with clear boundaries. In section 5.4 the algorithm is validated with using actual data from

WEICAN. Finally, in section 5.5, the chapter is concluded with future recommendations.

5.2 Problem Statement

Although much literature can be found on optimum controlling of BESS using different approaches, there is a gap in the BESS controlling field for optimization based on wind availability for future hours.

5.2.1 Problem formulation

To formulate the problem, wind turbine arrangement in WEICAN is considered. Layout for the WEICAN with 5 wind turbines and Figure 5.1 illustrates a configuration of a wind park which has five 2 MW turbines and a battery bank with 1 MW/ 2 MWh. An objective of the algorithm developed is to achieve the minimum cost of generation and to get maximum profit when wind speed is available for a week.

In order to maximize the profit, objective function can be modeled as follows,

B_t Electricity selling or purchasing price at time “t”

P_t Power at Common coupling point at time “t”

$$ObjectiveFunction = \sum_{n=1}^{72} B_t P_t \quad (5.1)$$

Power at the common coupling point (CCP) is given by the P_t and the equation 5.2 shows the power calculation. Power consumed for ancillary activities are represented by the P_{Loads} .

P_{wt} Wind power generation for time “t”

P_{dis} Discharging power of BESS at time “t”

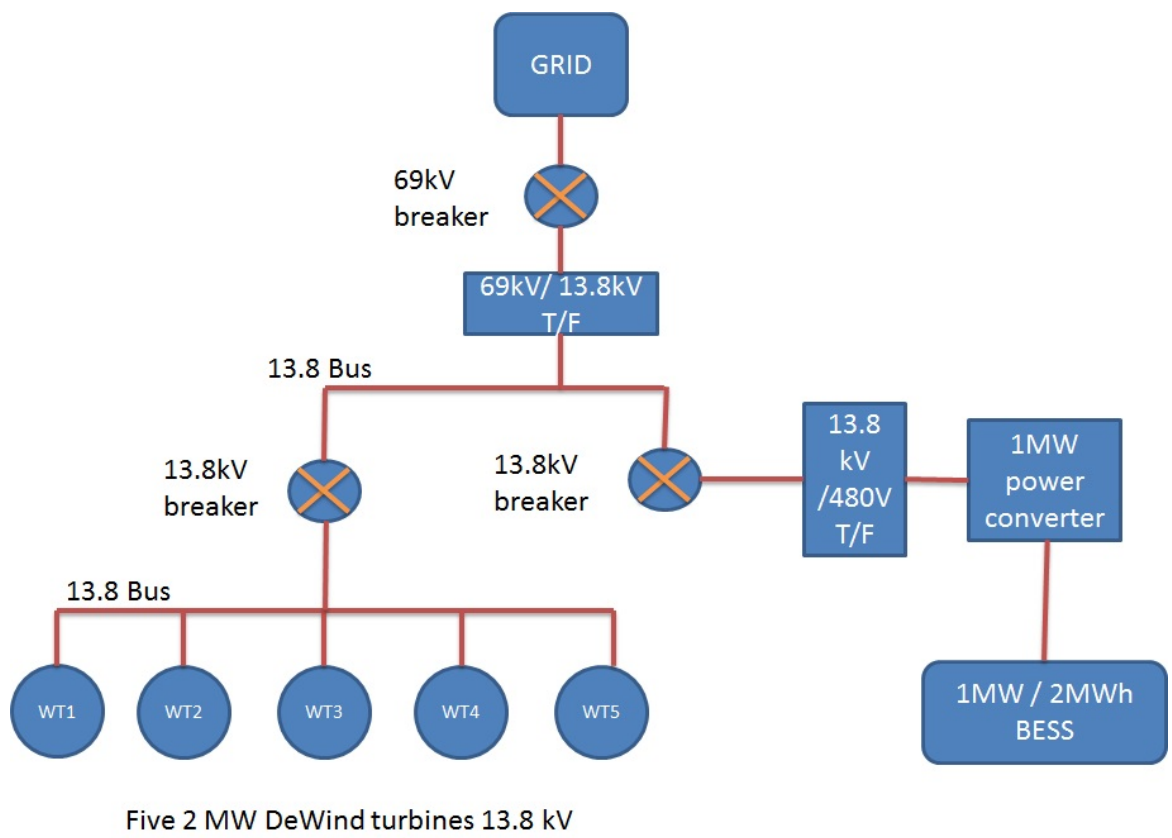


Figure 5.1: Model considered with wind turbines and an energy storage

P_{ch} Charging power of BESS at time “t”

P_{loads} Loads on the system at time “t”

P_{Et} Net power delivered to the BESS at time “t”

$$P_t = P_{wt} + P_{dis} - P_{ch} - P_{Loads} \quad (5.2)$$

$$P_{Et} = P_{ch} - P_{dis} \quad (5.3)$$

Lower and upper bounds of the energy storage adds limits to the power P_t are defined according to the committed power to the control center and the limits of the components.

LB_p Lower bound for power P_t

UB_p Upper bound for power P_t

LB_{EP} Lower bound for power P_{ET}

UB_{EP} Upper bound for power P_{ET}

P_{cutoff} Cutoff power for the wind turbine

P_{rated} Rated power for the wind turbine

$$LB_p \leq P_t \leq UB_p \quad (5.4)$$

$$LB_{EP} \leq P_{Et} \leq UB_{EP} \quad (5.5)$$

$$0 \leq P_{ch} \leq UB_{EP} \quad (5.6)$$

$$0 \leq P_{dis} \leq -LB_{EP} \quad (5.7)$$

For the considered model minimum $LB_p = -1MW$ which is the charging power for the BESS and the $UB_p = +10MW$ which is defined by the rating of the transmission line and transformer. For each hour, energy of the energy storage E_t can be calculated using the following equation 5.8.

E_t Energy at time “t”

LB_E Lower bound for Energy

UP_E Upper bound for Energy

η_{ES} Round cycle efficiency for the BESS

η_e Self discharging efficiency

$$E_t = \eta_e E_{t-1} + \eta_{ES} P_{ch} \Delta t - P_{dis} \Delta t \quad (5.8)$$

Same as power bounds, upper and lower bounds of E_t are defined according to the limits of the energy storage where the lower bound of the E_t is defined by the committed energy to the grid and the upper bounded by the maximum energy capacity of the system.

$$LB_E \leq E_t \leq UB_E \quad (5.9)$$

Wind turbine modeling

Using the wind data taken from the predicted wind data for upcoming one week. Wind turbine model has been used to predict the power generated by wind turbines [46].

K Constant

V Wind Velocity

R Rotor diameter

C_p Betz limit

λ ratio of wind speed downstream to wind speed upstream of the turbine

$$P_{wt} = 0.5KV^3\pi R^2C_p(\lambda, \beta) \quad (5.10)$$

$$P_{Cutoff} \leq P_{wt} \leq P_{rated} \quad (5.11)$$

Assumptions

To make the optimization process linear the following assumptions are made.

- Power produced by the wind turbine is proportional to the cube of the wind speed till the rated value.
- $\eta_e = 2 - 5\%$ per month [47], η_{ch} and η_d value are constants and gives round trip efficiency of 85% [48].
- In order to make the optimization algorithm more accurate,

$$E_0 = E_{nt} \quad (5.12)$$

- BESS is charged up to 1MWh, ($E_0 = 1MWh$) at the beginning of the the simulation.

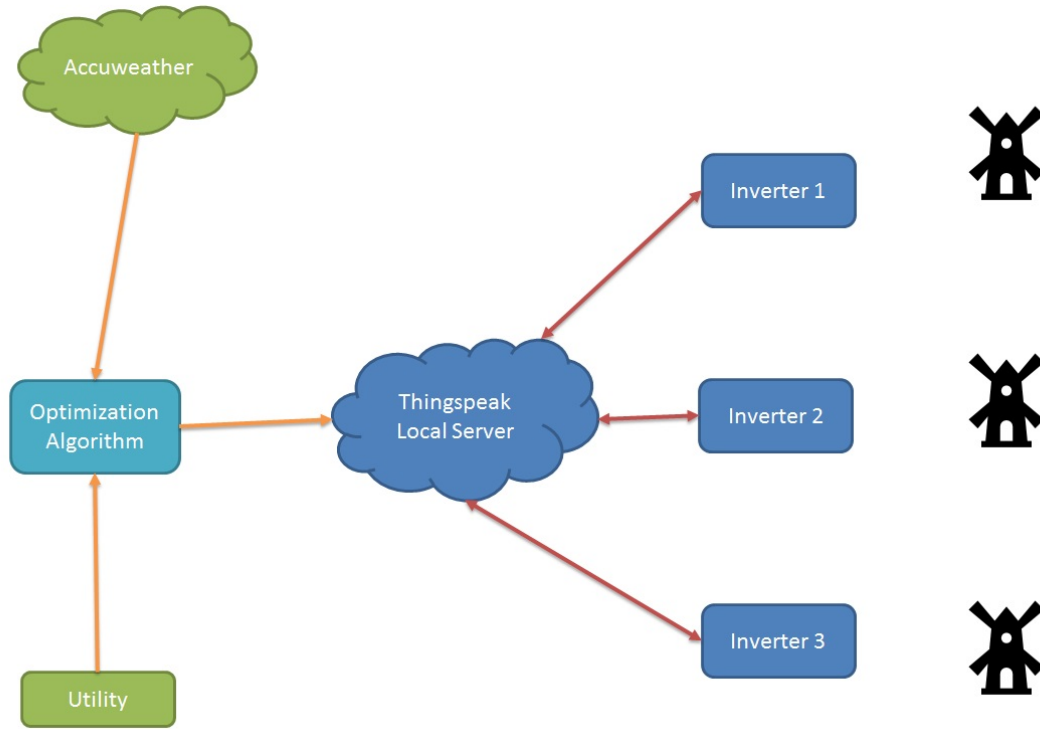


Figure 5.2: Proposed architecture

5.3 Methodology

Block diagram of the proposed system is shown on Figure 5.2. As illustrated in the Figure 5.3, algorithm calculates optimum power levels and uploads to the locally installed thingspeak server where inverters takes control data from the thingspeak server.

Python Scipy library

To embed the controlling lingprog method SCIPY library has been used (*scipy.optimize.linprog()*).

When the objective function is given by the equation 5.13 and if equality constraints are given by equation 5.14 and inequality constraints are given by 5.15 where all variables are bounded by minimum and maximum pairs. The `linprog()` can be used as shown in the equation 5.16.

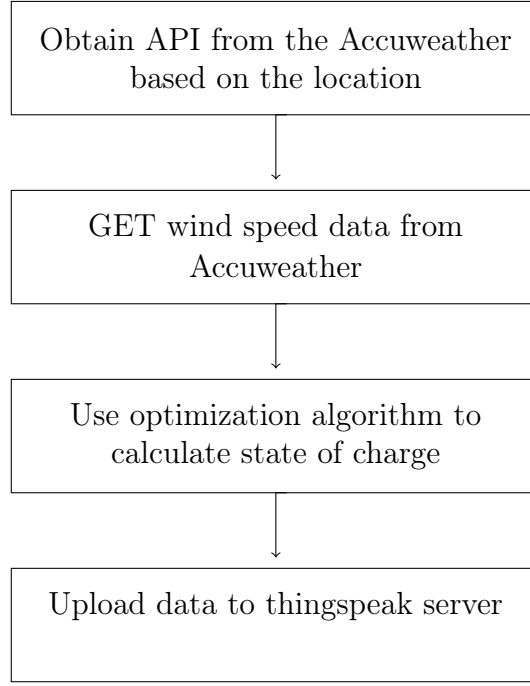


Figure 5.3: Block diagram for the algorithm

$$\text{Objective} - \text{function} = C^T X \quad (5.13)$$

$$A_e X = B_e \quad (5.14)$$

$$A_{in} X = B_{in} \quad (5.15)$$

$$\text{linprog}(-c, A_{ub} = A_{in}, b_{ub} = B_{in}, A_{eq} = A_e, b_{eq} = B_e) \quad (5.16)$$

The following matrices are used for as the input for the equation 5.16 and the sequence is $P_t, P_{ch}, P_{dis}, E_t$. The matrix A_{in} is made with using 5.2 is the inequality matrix and A_e is for equation matrix. Wind data obtained from the ACCWEATHER will be used in the B_{in} .

$$A_{in72,288} = \begin{pmatrix} 1 & .. & 1 & .. & -1 & .. & 0 & .. \\ .. & .. & .. & .. & .. & .. & .. & .. \\ .. & 1 & .. & 1 & .. & -1 & .. & 0 \end{pmatrix}$$

$$B_{in72,1} = \begin{pmatrix} P_{windpower} \\ .. \\ 0 \\ .. \\ .. \\ .. \\ 0 \end{pmatrix}$$

A_e is made using equation 5.8 and η values assumed under the section 5.2.1 and other assumptions.

$$A_{e72,288} = \begin{pmatrix} 0 & .. & 0 & 0 & .. & 0 & 0 & .. & 1 & 0 & .. \\ 0 & .. & -1 & 0 & .. & \eta_{ES} & 0 & .. & -\eta_e & 1 & .. \\ 0 & .. & 0 & -1 & .. & 0 & \eta_{ES} & .. & 0 & -\eta_e & 1 \\ 0 & .. & 0 & 0 & 0 & 0 & 0 & .. & 0 & .. & -1 \end{pmatrix}$$

$$B_{e72,1} = \begin{pmatrix} 1000 \\ 0 \\ .. \\ .. \\ .. \\ 0 \end{pmatrix}$$

Optimum Controlling Algorithm

This algorithm is developed for optimum controlling of the energy storage by considering all the variables affecting to the profit. Objective function is illustrated in the equation 5.1 and boundaries for all variables are also defined in the equations 5.4 and 5.9.

Step 1: At the beginning of each day (at 00.00 hours) obtain forecast weather data from the Accuweather using API key for next 72 hours. Users need a paid account to acquire data where it allows researchers to use six month trial version (this trial version allows user to obtain data only for 12 hours). Requests library has been used to get data and extract wind speed data using JSON library.

Step 2: Use equation 5.10 and 5.11 to calculate the power generated. Matrix **B** is generated using wind power data and save it to matrixb.csv. Upload wind power, wind speed data to the server for future usage with the predicted hour.

Step 3: Use pricing data to generate matrix **A** and save it in objectivefunction.csv file.

Step 4: Use equation 5.16 to find the optimum output operating schedule for the BESS for next 72 hours.

Step 5: In the case where the user committed to submit a day ahead schedule to the utility, the user can submit generated schedule. The lower boundaries and upper boundaries of the system will be automatically updated for the next 24 hours based on the schedule.

Step 6: In each hour, main algorithm GET operating schedule data using the Requests library from the thingspeak server and based on the operating schedule prepared, control sequence will be sent to the inverter.

5.4 Results and Validation

For validation of the optimization algorithm, real data obtained for the model illustrated in the figure 5.1 from the WEI can be used. Other than the assumptions already made while developing the algorithm following assumptions were also made during the validation process.

- Battery energy storage can discharged upto 0% can be charged upto 100% (Typically BESS does not discharged upto 0% or charged to 100%)
- BESS is initially charged up to 50% (To give fair condition for the BESS to be charged or discharged)

Figure 5.4 shows price data which are assumed for the Spring and those prices are tabulated in the Table 5.1. Figure 5.5 shows wind speed data for 25th April, 2016 and Figure 5.6 shows wind power generated by all five turbines within the day. Wind power generated data are also shown in the 3rd column of the Table 5.1.

Real data are then fed in to the algorithm to find out the optimum operating schedule. Figure 5.9 shows the operating schedule prepared by the algorithm. Based on the optimum operating schedule, power inflow and outflow for the BESS is prepared. Figure 5.10 illustrates the input power to the BESS and that data will be fed in to the power converter in automatic controlling.

From the real data set obtained for a real Wind turbines and real BESS are used to validate the algorithm and the output shows that the algorithm has maximized the revenue of the wind farm. Power flow at the point of common coupling is shown in the Figure 5.8 and are tabulated with actual operating data the comparison in the Table 5.2.

Data for power measurement on 69 kV bus are also taken for the same day. Due to their bus ratings and transformer ratings, power measurements of the 69 kV bus

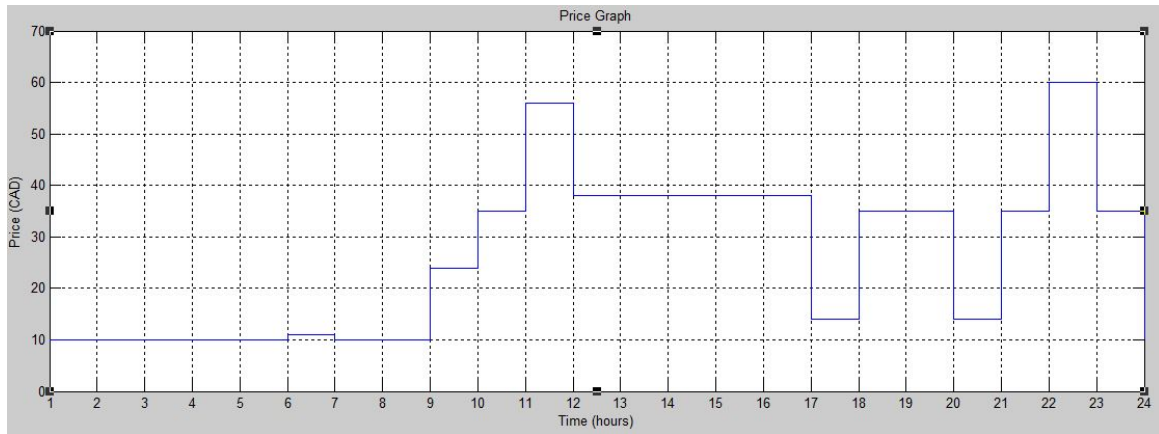


Figure 5.4: Price graph (CAD)

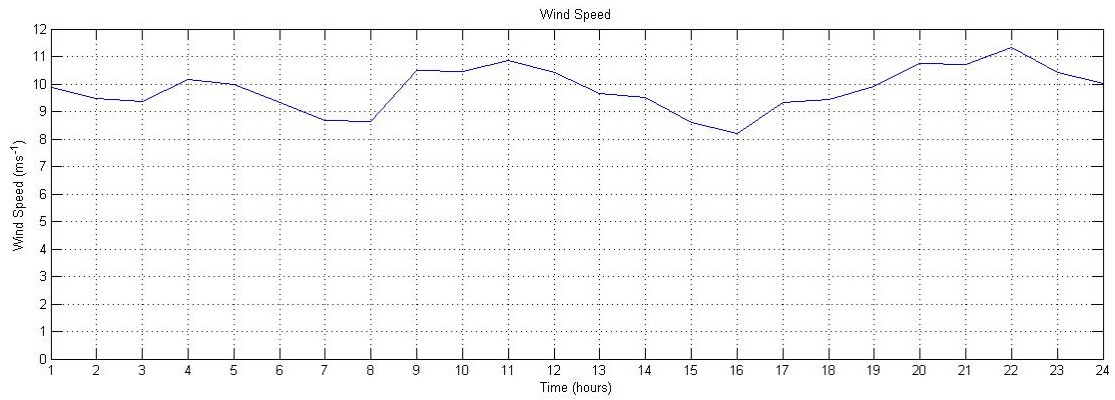
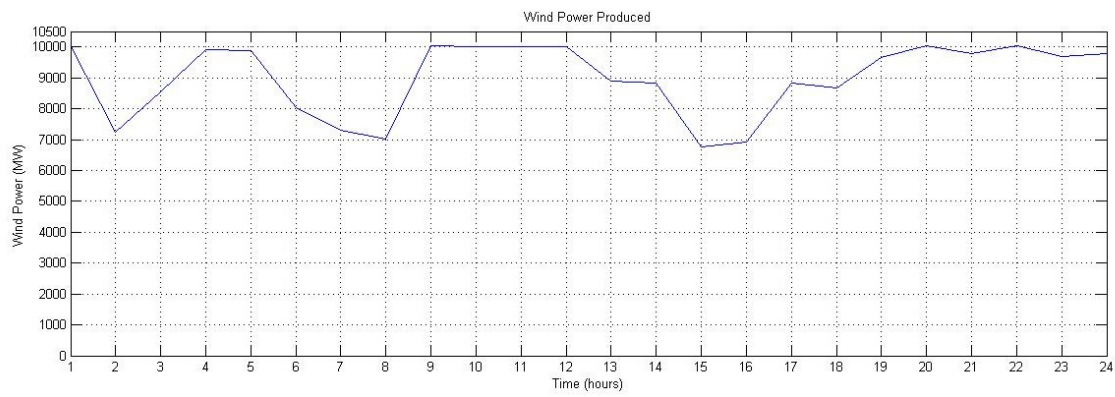
Figure 5.5: Wind speed graph (ms^{-1})

Figure 5.6: Wind power generated graph (kW)

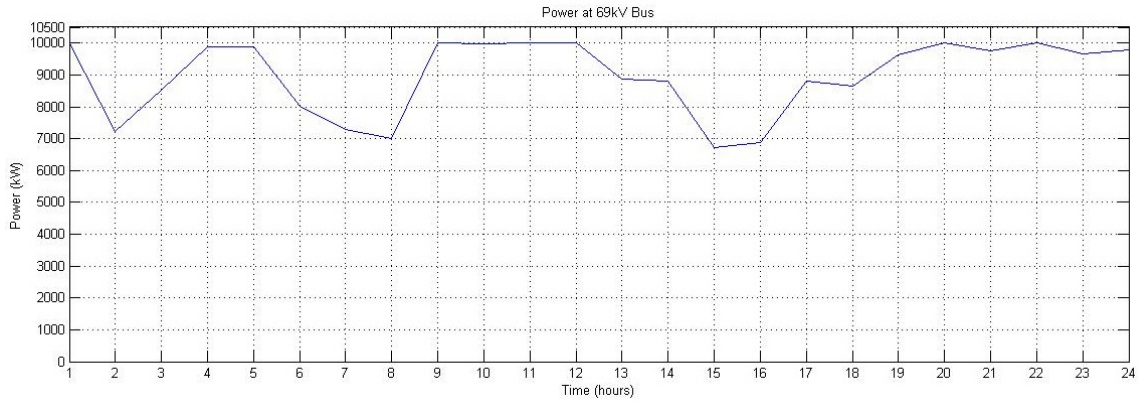


Figure 5.7: Actual power at common coupling point graph (kW)

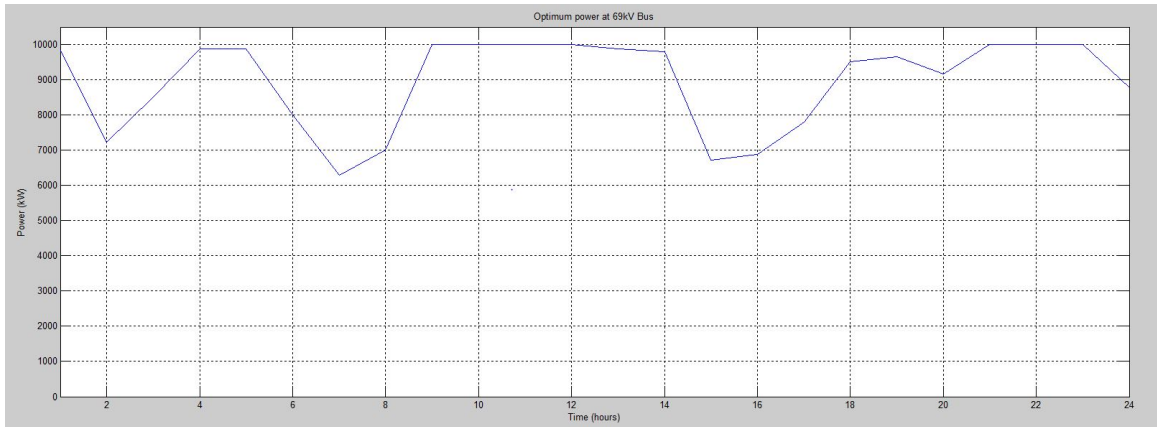


Figure 5.8: Optimum power output (P_t) generated by the algorithm (kW)

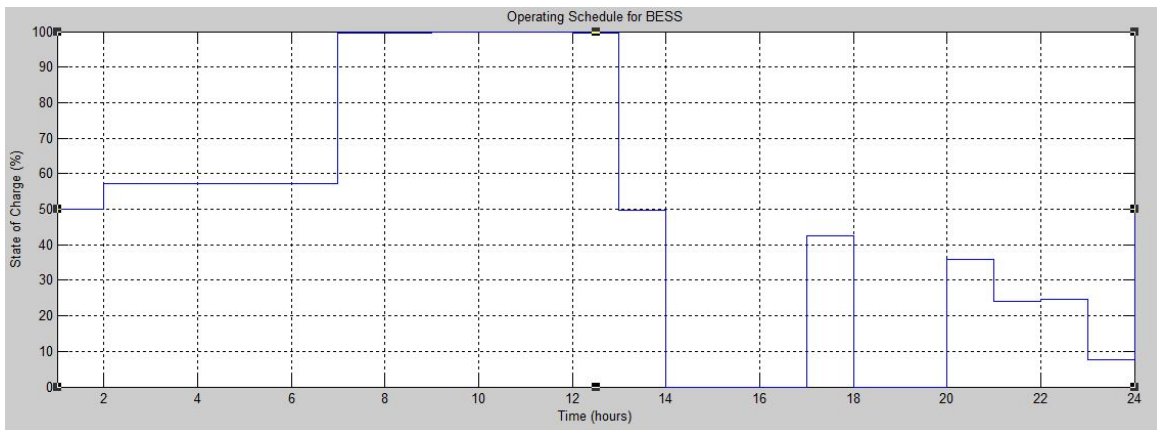


Figure 5.9: Operating schedule generated by the algorithm

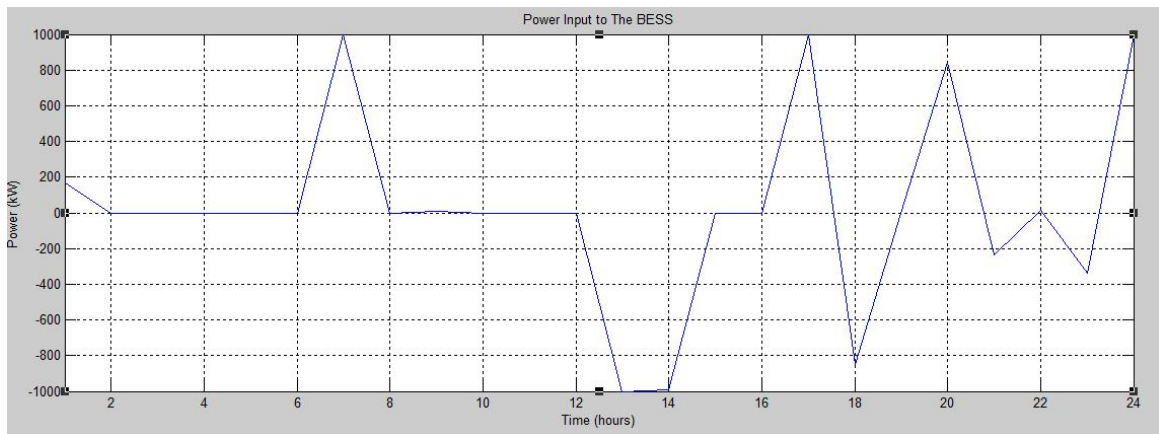


Figure 5.10: Optimum power flow to the BESS

Table 5.1: Input data for the algorithm

Time (hours)	Price (CAD) per MWh	Wind Power (kW)
1	10.00	10024.54
2	10.00	7250.88
3	10.00	8540.76
4	10.00	9904.35
5	10.00	9892.82
6	11.00	8030.73
7	10.00	7301.15
8	10.00	7022.22
9	24.00	10029.89
10	35.00	10011.51
11	56.00	10017.62
12	38.00	10016.83
13	38.00	8892.49
14	38.00	8832.36
15	38.00	6748.42
16	38.00	6911.20
17	14.00	8825.58
18	35.00	8684.35
19	35.00	9666.55
20	14.00	10038.53
21	35.00	9783.48
22	60.00	10032.58
23	35.00	9681.94
24	10.00	9795.18

Table 5.2: Input data for the algorithm

Time (hours)	P_t Actual Power (kW)	P_t Alg. output (kW)
1	10002.66	9834.68
2	7228.84	7228.84
3	8519.71	8519.71
4	9883.53	9883.53
5	9871.50	9871.50
6	8008.39	8008.39
7	7280.29	6280.29
8	7000.93	7000.93
9	10008.48	10000.00
10	9991.53	9991.53
11	9996.13	10000.00
12	9996.07	10000.00
13	8868.94	9868.94
14	8811.00	9803.21
15	6727.65	6727.65
16	6889.22	6889.22
17	8804.15	7804.15
18	8665.40	9515.40
19	9645.48	9645.48
20	10017.24	9172.79
21	9762.11	10000.00
22	10011.98	10000.00
23	9659.91	10000.00
24	9774.77	8774.77
Revenue	CAD 5687.40	CAD 5765.03

was similar to the wind power changes. That means the control strategy actually followed does not use the battery bank to improve profits. As illustrated in Table 5.2 new algorithm optimize the output of the system by storing energy in the low price periods to sell in the high demand periods. In the row one and seven of Table 5.2 algorithm sells less than the actual power because then power can be sold at later hour with high prices. As per the assumption, the battery is initially charged to 1 MWh, and it can get 1 MWh energy. Therefore, at the 7th hour, it will keep 1000 kwh to sell at the 13th hour which has a higher price. The Same thing is happening in the 17th hour and the 18th hour as well.

Observing row eleven to twenty four, it can concludes that the algorithm has been able to maximize the profit by selling power at high price regions by storing from low pricing regions.

In the last row of the Table 5.2 illustrates the revenue generated by each method that was calculated using the pricing data shown in the second column of Table 5.1. It shows that revenue generated with the operating schedule produced by the algorithm is higher than the actual revenue generated where it could have been increased by adding a revenue optimization algorithm. Figure 5.9 shows the operating schedule prepared by the algorithm, it show the set value of State of Charge for the battery. It does not reflect the actual behavior of the BESS.

5.5 Conclusion and future works

This chapter presents a novel implementation of optimal control algorithm for a battery energy storage system connected with a wind turbine and to a grid. Python based program has been developed using Accuweather API to gather wind data and to optimize the revenue and a locally installed thingspeak server has been used for

data storage . Performance of the algorithm has been verified using real wind data obtained from the WEICAN. Results shows that the algorithm has been able to increase the profit by changing the operation schedule considering the wind availability for next twenty four hours. As a future work, control algorithm will be improved to use minimize the electricity bill for households by training a neural network to predict the load pattern for the house and optimally control the BESS.

Chapter 6

Summary and Recommendations

Aiming to improve the power quality and reducing the cost of the power produced at peak hour energy storages are implemented. Control of energy storage is becoming essential. Power flow of an energy storage can be controlled by controlling the power converter attached to the energy storage system. There is a gap in the field of the smart grid for low-cost SCADA system for monitoring and control of inverters. This research is conducted to develop a low-cost SCADA for monitoring and control of a grid-connected inverter. This objective is achieved with achieving four sub-objectives,

1. Identify main features of SCADA systems.
2. Compare available low-cost SCADA options
3. Develop a low cost SCADA with features specified in the Figure 1.2.
4. Control an inverter connected an energy storage system to maximize the profit.

6.1 Summary

6.1.1 Research Summary Based on Objective 1

Although a sincere dedication has been given to the field of SCADA by the research community, features of the SCADA system varies with the system that is monitored by the SCADA. Therefore, initially, research has been conducted to recognize elements of a SCADA system. Based on that features needs to be embedded in a SCADA system that would be used for monitoring control of a SCADA system have been recognized and documented.

6.1.2 Research Summary Based on Objective 2

Based on features recognized under the objective one, few SCADA systems were selected and tested to verify their suitability for the application. Based on the results of the tests local thingspeak based local cloud assisted SCADA has been identified as the most suitable technology to fulfill the objective.

6.1.3 Research Summary Based on Objective 3

Then, a SCADA has been developed using the open source Thingspeak local server for monitoring and controlling of inverters. The usage of open source software reduces the cost while keeping the security and the reliability at a higher level. The python based program allows the user to obtain data from the serial port and posts data on the thingspeak server and the user is allowed to view and upload data from the server. A graphical user interface has been developed to interact with the SCADA system, and it shows current data values and allows the user to set the controlling variables. During the field testing the developed python-based program has been able

to communicate successfully with an inverter and post data to the server.

6.1.4 Research Summary Based on Objective 4

Finally, the thesis developed a linear programming based controlling strategy designed for a SCADA system to control a grid-connected inverter which is used to interface a battery energy storage with the grid. To control the inverter, a predictive wind speed algorithm is proposed where parameters are being automatically set by the linear programming based optimization algorithm that can be embedded into the SCADA system utilized for the inverter. The algorithm intends to take pricing data from the utility and obtain wind speed data from the Accuweather to generate operating schedule for the battery bank to maximize the revenue. Real data collected from the Wind Energy Institute Canada (WEIcan) are used to validate the controlling algorithm, and the results emphasize that the algorithm has produced the optimum operating schedule while maximizing the profit.

6.2 Significant Contributions

As a summary, this thesis has made following key contributions in the field of SCADA systems by fulfilling all of the outlined research objectives,

1. Recognized and documented core features that needs to be embedded in a SCADA which are used for monitoring and control of an inverter.
2. Developed a low cost open source SCADA for monitoring and control of a grid connected inverter.
3. Developed an optimization algorithm to maximize the profit generated by an energy storage.

6.3 Directions for Future Work

- The primary objective of the research is to develop a low-cost SCADA system. The implemented SCADA server consumes around 52VA which is high and not suitable for small-scale energy storages. Therefore, the server needs to be installed in a low power consumption computer and test it.
- The developed system communicates only with the given protocol. Therefore, the system needs to be improved with more protocols. Since the AI field and machine learning is enhancing AI bot can be attached to the SCADA.
- Optimum usage of home energy storage control algorithm will be improved to use to minimize the electricity bill for households by training a neural network to predict the load pattern for the house and optimally control the BESS.

6.4 List of Publications

All the contributions in the thesis are published in the following technical papers and posters:

Journal Articles

1. Sarinda Jayasinghe, Tariq Iqbal and George K Mann, An Internet of Things based open SCADA for Monitoring and Controlling of Inverters, prepared for submission.

Peer-reviewed Conference Articles

2. Jayasinghe L. Sarinda, Tariq Iqbal, George Mann, Low-cost and open source SCADA options for remote control and monitoring of inverters, presented at CCECE 2017, Windsor ON Canada.

Abstract-reviewed Conference Articles

3. Sarinda Jayasinghe, Tariq Iqbal, George Mann, Optimum Control of a Grid Connected Battery Energy Storage, accepted to present at 26th IEEE NECEC conference 2017.
4. Sarinda Jayasinghe, Tariq Iqbal, George Mann, IoT based low cost SCADA system for an inverter, presented at 25th IEEE NECEC conference 2016.

Poster presentations

5. S.L. Jayasinghe, T. Iqbal and G. Mann, Internet of Things (IoT) based open SCADA for Monitoring and Controlling of Inverters, Poster session presented at: NESTNet Technical Conference. 1st Annual conference. 21-22 July, 2017; Toronto, ON.

Bibliography

- [1] E. Chemali, M. Preindl, P. Malysz and A. Emadi, "Electrochemical and Electrostatic Energy Storage and Management Systems for Electric Drive Vehicles: State-of-the-Art Review and Future Trends," in IEEE Journal of Emerging and Selected Topics in Power Electronics, vol. 4, no. 3, pp. 1117-1134, Sept. 2016.
- [2] <https://www.ryerson.ca/nestnet/themes/>
- [3] Ujvarosi, Alexandru. "EVOLUTION OF SCADA SYSTEMS." Bulletin of the Transilvania University of Brasov. Engineering Sciences. Series I 9.1 (2016): 63.
- [4] <https://www.gogreensolar.com/products/sma-sb-10000tlus-10-sunny-boy-grid-tie-inverter-10000w-with-dc-disconnect>
- [5] <https://www.alibaba.com/product-detail/SAJ-10kw-3-phase-380V-on60643486889.html>
- [6] A. M. Grilo, J. Chen, M. DÃñaz, D. Garrido and A. Casaca,. 2014. "An Integrated WSN and SCADA System for Monitoring a Critical Infrastructure." (IEEE TRANSACTIONS ON INDUSTRIAL INFORMATICS) 10 (3).
- [7] Andreescu, E. H. Gurban and G. D. 2011. "SCADA element solutions using Ethernet and mobile phone network." 2011 IEEE 9th International Symposium on Intelligent Systems and Informatics. 303-308.
- [8] P. Zhang, T. Liu, Z. X. Yang, Y. Mou, Y. H. Wei and D. Chen,. 2015. "Design of remote control plug." 2015 IEEE International Conference on Applied Superconductivity and Electromagnetic Devices (ASEMD). 29-30.
- [9] S. Sanap, R. Nawale, S. Kapse, A. Kale and M. Korade,. 2015. "Exact virtualization of Industrial Environment on Web Using SCADA with Artificial Intelligence." Green Computing and Internet of Things (ICGCIoT), 2015 International Conference on, Noida. 99-103.
- [10] S. G. Hegde, S. R. Desai, D. R. Gajanan, S. B. Kowligi and Sachin RC. ndustrial Instrumentation and Control (ICIC). "Implementation of SCADA in industries using wireless technologies." 2015. Pune.

- [11] A. Soetedjo, Y. I. Nakhoda and D. Suryadi,. 2013. "Development of data acquisition system for hybrid power plant." QiR (Quality in Research), 2013 International Conference on, Yogyakarta, 2013. 197-201.
- [12] J. L. Sarinda, T. Iqbal and G. Mann, "Low-cost and open source SCADA options for remote control and monitoring of inverters," 2017 IEEE 30th Canadian Conference on Electrical and Computer Engineering (CCECE), Windsor, ON, 2017, pp. 1-4.
- [13] Sergi Blanch-Torn, Fernando Cores, Ramiro Moreno Chiral. 2015. "Agent-based PKI for Distributed Control System." World Congress on Industrial Control Systems Security (WCICSS-2015). 28-35.
- [14] Felipe. C, Yeison. C, Leonardo. R,. 2014. "Wireless Sensor System According to the Concept of IoT -Internet of Things." 2014 International Conference on Computational Science and Computational Intelligence.
- [15] Pasha, Sharmad. 2016. "Thingspeak Based Sensing and Monitoring System for IoT with Matlab Analysis." International Journal of New Technology and Research (IJNTR) 19-23.
- [16] Bonnie Zhu, Anthony Joseph, Shankar Sastry. 2011. "A Taxonomy of Cyber Attacks on SCADA Systems." 2011 IEEE International Conferences on Internet of Things, and Cyber, Physical and Social Computing.
- [17] Hasan, M., and H. T. Mouftah. 2016. "Optimal Trust System Placement in Smart Grid SCADA Networks." (IEEE Access) PP (99).
- [18] D.K. Maly, K.S. Kwan. 1995. "Optimal battery energy storage system (BESS) charge scheduling with dynamic programming." IEE Proceedings - Science, Measurement and Technology.
- [19] Chin H. Lo, Max D. Anderson. 1999. "Economic dispatch and optimal sizing of battery energy storage systems in utility load-leveling operations." IEEE Transactions on Energy Conversion.
- [20] M. S. Habibi. 2001. "Model for impact of storage on spinning reserve requirements and distributed generation." Proceedings of the 33rd Southeastern Symposium on System Theory 161-165.
- [21] Kaye, Thai Doan Hoang Cau and R. J. 2001. "Multiple distributed energy storage scheduling using constructive evolutionary programming." PICA 2001. Innovative Computing for Power - Electric Energy Meets the Market. 22nd IEEE Power Engineering Society. International Conference on Power Industry Computer Applications. Sydney. 402-407.

- [22] L. C. C. Fung. 2000. "Combined fuzzy-logic and genetic algorithm technique for the scheduling of remote area power system." IEEE Power Engineering Society Winter Meeting. 1069-1074.
- [23] T. Y. Lee. 2007. "Operating Schedule of Battery Energy Storage System in a Time-of-Use Rate Industrial User With Wind Turbine Generators: A Multipass Iteration Particle Swarm Optimization Approach." 774-782.
- [24] Shuang Xu, Riming Shao and Liuchen Chang, "Single-phase voltage source inverter with voltage-boosting and power decoupling capabilities," 2017 IEEE 8th International Symposium on Power Electronics for Distributed Generation Systems (PEDG), Florianopolis, 2017, pp. 1-8.
- [25] Sarinda Jayasinghe, Tariq Iqbal, George Mann, "IoT based low cost SCADA system for an inverter", presented at 25th IEEE NECEC conference 2016.
- [26] S. L. Jayasinghe, T. Iqbal and G. Mann, "Internet of Things (IoT) based open source SCADA for Monitoring and Controlling of Inverters," Poster session presented at: NESTNet Technical Conference. 1st Annual conference. 21-22 July, 2017; Toronto, ON.
- [27] Sarinda Jayasinghe, Tariq Iqbal and George K Mann, An Internet of Things based open SCADA for Monitoring and Controlling of Inverters, submitted with IEEE Access 2017.
- [28] Sarinda Jayasinghe, Tariq Iqbal, George Mann, Optimum Control of a Grid Connected Battery Energy Storage, accepted to present at 26th IEEE NECEC conference 2017.
- [29] D. Watson, C. Hastie and M. Rodgers, "Comparing Different Regulation Offerings from a Battery in a Wind RnD Park," in IEEE Transactions on Power Systems, vol. PP, no. 99, pp. 1-1. doi: 10.1109/TPWRS.2017.2747517
- [30] Gerald Giroux, Data Topology, Wind Energy Institute of Canada
- [31] 2007. Core IEC Standards. 05 15. Accessed 2016. <http://www.iec.ch/smartgrid/standards/>.
- [32] <https://www.trihedral.com/scada-software-pricing>
- [33] M. N. Ashraf, S. A. B. Khalid, M. S. Ahmed and A. Munir., 2009. "Implementation of Intranet-SCADA Using LabVIEW Based Data Acquisition and Management." Computing, Engineering and Information, 2009. ICC '09. International Conference on, Fullerton, CA. IEEE. 244-249.

- [34] ANAM. S, HAIDER. A, KASHIF. S. 2016. "Cloud-Assisted IoT-Based SCADA Systems Security: A Review of the State of the Art and Future Challenges." (SPECIAL SECTION ON THE PLETHORA OF RESEARCH IN INTERNET OF THINGS (IoT)).
- [35] <https://www.adafruit.com/product/2491>
- [36] A. D. Deshmukh, U. B. Shinde. 2016. "A low cost environment monitoring system using raspberry Pi and arduino with Zigbee." 2016 International Conference on Inventive Computation Technologies (ICICT). Coimbatore, India. 1-6.
- [37] M. S. Almas, L. Vanfretti, S. LÃyvlund and J. O. Gjerde, "Open source SCADA implementation and PMU integration for power system monitoring and control applications," 2014 IEEE PES General Meeting | Conference and Exposition, National Harbor, MD, 2014, pp. 1-5.
- [38] <https://www.ibm.com/watson/>
- [39] <https://artik.cloud/>
- [40] <https://www.ge.com/digital/predix>
- [41] <https://cloud.google.com/iot-core/>
- [42] n.d. UBIDOTS. <http://ubidots.com/>.
- [43] n.d. thingspeak.<https://thingspeak.com/>.
- [44] n.d. Blynk.<http://www.blynk.cc/>.
- [45] A. Sajid, H. Abbas and K. Saleem, "Cloud-Assisted IoT-Based SCADA Systems Security: A Review of the State of the Art and Future Challenges," in IEEE Access, vol. 4, no. , pp. 1375-1384, 2016. doi: 10.1109/ACCESS.2016.2549047
- [46] Wagner HJ. Introduction to wind energy systems. In EPJ Web of Conferences 2013 (Vol. 54, p. 01011). EDP Sciences.
- [47] Divya, K. C., and Jacob Ãytergaard. "Battery energy storage technology for power systemsâĀAn overview." Electric Power Systems Research 79.4 (2009): 511-520.
- [48] Durathon DC System Technical Specifications âĀMWh Series,

Appendix A

Protocol developed in UNB

data frame sent from the invert control board to the display unit																													
0x55	0xaa	byte0	byte1	byte2	byte3	byte4	byte5	byte6	byte7	byte8	byte9	byte10	byte11	byte12	byte13	byte14	byte15	byte16	byte17	byte18	byte19	byte20	byte21	byte22	byte23	byte24	byte25	byte26	byte27
syncword	status	status	status	status	current	current	current	voltage	voltage	power	# of samples	current max	volt max	reserved	reserved	ver #	crc b0-b25												

data frame sent from the invert control board to the display unit

data frame sent from the display unit to the inverter control board																									
0x55	0xaa	byte0	byte1	byte2	byte3	byte4	byte5	byte6	byte7	byte8	byte9	byte10	byte11	byte12	byte13	byte14	byte15	byte16	byte17	byte18	byte19	byte20	byte21	byte22	byte23
syncword	stop cmd	start cmd	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	reserved	ver #	crc b0-b21		

data frame sent from the display unit to the inverter control board

1. Serial setting: 9600/8-N-1
2. CRC checking for both transmitting and receiving: CRC-CCITT (0xFFFF), poly 0x1021, init 0xffff
3. Little-endian
4. ver # represents the code build version of the transmitter with format as Yxxx (2-digit of year followed by 3-digit of day of the year). eg. 15204 for the build version on July 23, 2015
5. stop cmd and start cmd are the command for stopping/starting the inverter. It's set to 0xffff when the button is clicked, otherwise set to 0
6. The inverter will discard the frame data if the appended crc value does not match the crc checksum
7. It's recommended to check the crc too for the data from the inverter

Appendix B

Appendix B: Code for Inverter SCADA

```
import serial
import threading
import queue
import tkinter as tk
from tkinter import IntVar, Label, Button, W, E, LEFT, Entry, END
import requests
import time
import smtplib
import math
import datetime

real_power_actual_value_from_arduino =0
current_actual_value_from_arduino=5
reactive_power_actual_value_from_arduino=5
voltage_actual_value_from_arduino=5
version_number_actual_value_from_arduino=5
max_current_actual_value_from_arduino=5
max_voltage_actual_value_from_arduino=5

realpowerseturl ='http://0.0.0.0:3000/update?key=UEHSP6C9WTZKMCH&field5='
```

```

realpoweractualurl = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field3='

reactivepowerseturl = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field6='
reactivepowersactualurl = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field4='

current_actual_string = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field1='
voltage_actual_string = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field2='
real_power_actual_string = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field3='
reactive_power_actual_string = 'http://0.0.0.0:3000/update?key=UEHSNP6C9WTZKMCH&field4='

reactivesetvalue=0
reactivepoweractualvalue =0

query = {'field': 120}

ser3 = '6'+ '8'
ser4 ='6'

senddata =""
voltagealert="Normal"
currentalert = "Normal"

voltagealert_pre="Normal"
currentalert_pre = "Normal"

time1 = datetime.datetime.now()
energy_accumulated = 0

class SerialThread(threading.Thread):
    def __init__(self, queue):
        threading.Thread.__init__(self)
        self.queue = queue
    def run(self):
        s = serial.Serial('/dev/ttyACM1',9600)
        #start_time=time()
        while True:
            text = s.readline()
            z =senddata.encode()
            #print(senddata)
            y= s.write(z)
            time_string1 = time.strftime('%H:%M:%S')
            print(time_string1)

```

```

        #print(y)
        self.queue.put(text)

class App(tk.Tk):
    def __init__(self):
        print("1")
        tk.Tk.__init__(self)
        print("2")
        self.geometry("1152x900")
        print("3")

        ##.....
        self.title_label = Label(self, font='TimesNewRoman 30',fg="green", text="Inverter SCADA")
        self.title_label.grid(row=0, column=4)

        ##.....
        ##Monitoringpart
        ##.....

        self.monitoring_label = Label(self, font='TimesNewRoman 20',fg="red", text="Monitoring")
        self.monitoring_label.grid(row=1, column=4)

        ##.....
        ##buttons

        self.stop_button = Button(self, text="Stop", command=lambda: self.update_setone("set_real_power"))
        self.start_button = Button(self, text="Start", command=lambda: self.update_setone("set_real_power_add"))
        self.input_button = Button(self, text="Input", command=lambda: self.update_setone("set_real_power_subtract"))

        self.showRx_button = Button(self, text="ShowRx", command=lambda: self.update_setone("set_reactive_power"))
        self.quit_button = Button(self, text="Quit", command=lambda: self.update_setone("set_reactive_power_add"))

        ##position buttons

        self.stop_button.grid(row=2, column=1)
        self.start_button.grid(row=2, column=3)
        self.input_button.grid(row=2, column=5)
        self.showRx_button.grid(row=2, column=7)
        self.quit_button.grid(row=2, column=9)

```

```

self.spaceone_label = Label(self, font='TimesNewRoman 20',fg="red", text="")
self.spaceone_label.grid(row=3, column=4)

#####

self.voltage_value = 0
self.voltage_text = IntVar()
self.voltage_text.set(self.voltage_value)
self.voltage_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.voltage_text)
self.voltage_label = Label(self, font='TimesNewRoman 14', text="Voltage (V):  ")
self.voltage_label.grid(row=4, column=0)
self.voltage_text_label.grid(row=4, column=2)

#####

self.current_value = 0
self.current_text = IntVar()
self.current_text.set(self.current_value)
self.current_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.current_text)
self.current_label = Label(self, font='TimesNewRoman 14', text="Current (A): ")
self.current_label.grid(row=4, column=5)
self.current_text_label.grid(row=4, column=8)

#####

self.power_value = 0
self.power_text = IntVar()
self.power_text.set(self.power_value)
self.power_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.power_text)
self.power_label = Label(self, font='TimesNewRoman 14', text="Power (W):  ")
self.power_label.grid(row=5, column=0)
self.power_text_label.grid(row=5, column=2)

#####

self.pf_value = 0
self.pf_text = IntVar()
self.pf_text.set(self.pf_value)
self.pf_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.pf_text)
self.pf_label = Label(self, font='TimesNewRoman 14', text="PF:  ")

```

```

self.pf_lable.grid(row=5, column=5)
self.pf_text_label.grid(row=5, column=8)

##.....

self.status_value = 0
self.status_text = IntVar()
self.status_text.set(self.status_value)
self.status_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.status_text)
self.status_lable = Label(self, font='TimesNewRoman 14', text="Status:      ")
self.status_lable.grid(row=6, column=0)
self.status_text_label.grid(row=6, column=2)
##.....

self.totlakwh_value = 0
self.totlakwh_text = IntVar()
self.totlakwh_text.set(self.totlakwh_value)
self.totlakwh_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.totlakwh_text)
self.totlakwh_lable = Label(self, font='TimesNewRoman 14', text="Total kwh:      ")
self.totlakwh_lable.grid(row=6, column=5)
self.totlakwh_text_label.grid(row=6, column=8)

##.....
#controlling part
##.....

self.reactive_power_lable = Label(self, font='TimesNewRoman 20',fg="red", text="")
self.reactive_power_lable.grid(row=12, column=4)
self.reactive_power_lable = Label(self, font='TimesNewRoman 20',fg="red", text="Controlling")
self.reactive_power_lable.grid(row=13, column=4)

##.....

self.reactive_power_lable = Label(self, font='TimesNewRoman 14',fg="black", text="Set Value")
self.reactive_power_lable.grid(row=15, column=2)

##.....

self.reactive_power_set_value = 0
self.reactive_power_set_value_text = IntVar()
self.reactive_power_set_value_text.set(self.reactive_power_set_value)
self.reactive_power_set_value_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.reactive_power_set_value_text)

```



```

self.reactive_power_label = Label(self, font='TimesNewRoman 14', text="Reactive P:")
self.reactive_power_label.grid(row=19, column=0)
self.reactive_power_set_value_text_label.grid(row=19, column=2)

#.....

self.real_power_set_value = 0
self.real_power_set_value_text = IntVar()
self.real_power_set_value_text.set(self.real_power_set_value)
self.real_power_set_value_text_label = Label(self, font='TimesNewRoman 14', fg="blue", textvariable=self.real_power_set_value_text)
self.real_power_label = Label(self, font='TimesNewRoman 14', text="Real Power:")
self.real_power_label.grid(row=18, column=0)
self.real_power_set_value_text_label.grid(row=18, column=2)

#.....

vcmd = self.register(self.validate) #we have to wrap the command
self.entry = Entry(self, validate="key", validatecommand=(vcmd, '%P'))
self.label_set_value_label = Label(self, font='TimesNewRoman 14', text="Set the value :")
self.label_set_value_label.grid(row=20, column=0)
self.entry.grid(row=20, column=2, columnspan=2, sticky=W+E)

#.....
#buttons
#.....

self.set_real_power_label = Label(self, font='TimesNewRoman 14', fg="black", text="Set Real Power: ")
self.set_real_power_label.grid(row=21, column=0)
self.real_power_set_button = Button(self, text="Ok", command=lambda: self.update("set_real_power"))
self.real_power_set_button.grid(row=21, column=2)
self.real_power_add_button = Button(self, text="+ 0.5", command=lambda: self.update("set_real_power_add"))
self.real_power_add_button.grid(row=21, column=4)
self.real_power_substract_button = Button(self, text="- 0.5", command=lambda: self.update("set_real_power_subtract"))
self.real_power_substract_button.grid(row=21, column=5)

self.set_reactive_power_label = Label(self, font='TimesNewRoman 14', fg="black", text="Set Reactive Power: ")
self.set_reactive_power_label.grid(row=22, column=0)
self.reactive_power_set_button = Button(self, text="Ok", command=lambda: self.update("set_reactive_power"))
self.reactive_power_set_button.grid(row=22, column=2)
self.reactive_power_add_button = Button(self, text="+ 0.5", command=lambda: self.update("set_reactive_power_add"))

```

```

self.reactive_power_add_button.grid(row=22, column=4)

self.reactive_power_substract_button = Button(self, text="-- 0.5", command=lambda: self.update("set_reactive_power_subtract"))
self.reactive_power_substract_button.grid(row=22, column=5)

self.Blackstart_button = Button(self, text="-- 0.5", command=lambda: self.update("set_reactive_power_subtract"))

#.....
#alerts
#.....

self.reactive_power_lable = Label(self, font='TimesNewRoman 20',fg="red", text="")
self.reactive_power_lable.grid(row=23, column=4)
self.reactive_power_lable = Label(self, font='TimesNewRoman 20',fg="red", text="Alerts")
self.reactive_power_lable.grid(row=24, column=4)

#.....

self.voltagealert_value = voltagealert
self.voltagealert_text = IntVar()
self.voltagealert_text.set(self.voltagealert_value)
self.voltagealert_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.voltagealert_text)
self.voltagealert_lable = Label(self, font='TimesNewRoman 14', text="Voltage:      ")
self.voltagealert_lable.grid(row=25, column=0)
self.voltagealert_text_label.grid(row=25, column=2)

self.voltagealert_button = Button(self, text="Clear", command=lambda: self.update_clear("voltage alert clear"))
self.voltagealert_button.grid(row=25, column=4)

#.....

self.currentalert_value = currentalert
self.currentalert_text = IntVar()
self.currentalert_text.set(self.currentalert_value)
self.currentalert_text_label = Label(self,font='TimesNewRoman 14',fg="blue", textvariable=self.currentalert_text)
self.currentalert_lable = Label(self, font='TimesNewRoman 14', text="Current:    ")
self.currentalert_lable.grid(row=26, column=0)
self.currentalert_text_label.grid(row=26, column=2)

self.currentalert_button = Button(self, text="Clear", command=lambda: self.update_clear("current alert clear"))
self.currentalert_button.grid(row=26, column=4)

#.....

```

```

#details of the inverter
##.....

self.version_value = 0
self.version_text = IntVar()
self.version_text.set(self.version_value)
self.version_text_label = Label(self,font='TimesNewRoman 10',fg="purple", textvariable=self.version_text)
self.version_lable = Label(self, font='TimesNewRoman 10', fg="purple", text="Inverter Version:    ")
self.version_lable.grid(row=35, column=0)
self.version_text_label.grid(row=35, column=1)

##.....

self.time_value = 0
self.time_text = IntVar()
self.time_text.set(self.time_value)
self.time_text_label = Label(self,font='TimesNewRoman 10',fg="purple", textvariable=self.time_text)
self.time_lable = Label(self, font='TimesNewRoman 10', fg="purple", text="Time:    ")
self.time_lable.grid(row=35, column=3)
self.time_text_label.grid(row=35, column=4)

##.....

print("7")
self.queue = queue.Queue()
print("8")
thread = SerialThread(self.queue)
print("9")
thread.start()
print("10")
self.process_serial()
print("11")

def update(self, method):
    if not self.entered_number ==0:
        if method == "set_real_power":
            self.real_power_set_value = self.entered_number
        elif method == "set_reactive_power":
            self.reactive_power_set_value = self.entered_number
    elif method == "set_real_power_add":
        self.real_power_set_value+=0.5
    elif method == "set_real_power_subtract":

```

```

        self.real_power_set_value -= 0.5
    elif method == "set_reactive_power_add":
        self.reactive_power_set_value += 0.5
    elif method == "set_reactive_power_subtract":
        self.reactive_power_set_value -= 0.5

self.real_power_set_value_text.set(self.real_power_set_value)
self.reactive_power_set_value_text.set(self.reactive_power_set_value)

ser6 = str(self.real_power_set_value)
url = realpowerseturl+ser6
r = requests.post(url, data=query)
print (r.text)

ser7 = str(self.reactive_power_set_value)
url2 = reactivepowerseturl +ser7
s = requests.post(url2, data=query)
print (s.text)

self.entry.delete(0, END)

def update_setone(self, method):
    print(method)

def update_email(self, method):
    print(method)
    server = smtplib.SMTP('smtp.gmail.com', 587)
    server.starttls()
    server.login("monitoringbbbcada@gmail.com", "Lab1234%")

    msg = method
    server.sendmail("monitoringbbbcada@gmail.com", "slj681@mun.ca", msg)
    server.quit()

def update_clear(self, method):
    print(method)
    global voltagealert

```



```

ser_byt3 = chr(ser1[10])+chr(ser1[11])
ser_byt4 = chr(ser1[12])+chr(ser1[13])
ser_byt5 = chr(ser1[14])+chr(ser1[15])
ser_byt6 = chr(ser1[16])+chr(ser1[17])
ser_byt7 = chr(ser1[18])+chr(ser1[19])
ser_byt8 = chr(ser1[20])+chr(ser1[21])
ser_byt9 = chr(ser1[22])+chr(ser1[23])
ser_byt10 = chr(ser1[24])+chr(ser1[25])
ser_byt11 = chr(ser1[26])+chr(ser1[27])
ser_byt12 = chr(ser1[28])+chr(ser1[29])
ser_byt13 = chr(ser1[30])+chr(ser1[31])
ser_byt14 = chr(ser1[32])+chr(ser1[33])
ser_byt15 = chr(ser1[34])+chr(ser1[35])
ser_byt16 = chr(ser1[36])+chr(ser1[37])
ser_byt17 = chr(ser1[38])+chr(ser1[39])
ser_byt18 = chr(ser1[40])+chr(ser1[41])
ser_byt19 = chr(ser1[42])+chr(ser1[43])
ser_byt20 = chr(ser1[44])+chr(ser1[45])
ser_byt21 = chr(ser1[46])+chr(ser1[47])
ser_byt22 = chr(ser1[48])+chr(ser1[49])
ser_byt23 = chr(ser1[50])+chr(ser1[51])
ser_byt24 = chr(ser1[52])+chr(ser1[53])
ser_byt25 = chr(ser1[54])+chr(ser1[55])

number_of_samples=ser_byt17+ser_byt16
number_of_samples_dec= int(number_of_samples, 16)

max_current =ser_byt19+ser_byt18
max_current_dec =int(max_current, 16)

max_voltage = ser_byt21+ser_byt20
max_voltage_dec = int(max_voltage, 16)

print (ser1)

real_current = ser_byt7+ser_byt6+ser_byt5+ser_byt4
real_current_dec1 = int(real_current,16)

sqrt_for_max_C = math.sqrt(real_current_dec1/number_of_samples_dec)*max_current_dec
real_current_dec2 = sqrt_for_max_C/4096

```

```

real_current_dec = round(real_current_dec2,2)

ser_real_current = str(real_current_dec)
url_real_current = current_actual_string +ser_real_current
s_real_current = requests.post(url_real_current, data=query)
print (s_real_current.text)
self.current_text.set(ser_real_current) #update on gui

global voltagealert
global currentalert
global voltagealert_pre
global currentalert_pre

#set alert if current is greater than 300
if real_current_dec>300:
    currentalert="High"
    if currentalert_pre == "Normal":
        self.currentalert_text.set(currentalert) #update on gui
        currentalert_pre="High"
        self.update_email("Your inverter current is high")
        print("current is high")

real_voltage = ser_byt11+ser_byt10+ser_byt9+ser_byt8
real_voltage_dec1= int(real_voltage,16)
sqrt_for_max_V = math.sqrt(real_voltage_dec1/number_of_samples_dec)*max_voltage_dec
real_voltage_dec2 = sqrt_for_max_V/4096
real_voltage_dec = round(real_voltage_dec2,2)

ser_real_voltage = str(real_voltage_dec)
url_real_voltage = voltage_actual_string +ser_real_voltage
s_real_voltage = requests.post(url_real_voltage, data=query)
print (s_real_voltage.text)
self.voltage_text.set(ser_real_voltage) #update on gui

if real_voltage_dec>250:
    voltagealert="High"
    if voltagealert_pre == "Normal":
        self.voltagealert_text.set(voltagealert) #update on gui
        voltagealert_pre="High"

```

```

        self.update_email("Your inverter voltage is high")
        print("voltage is high")

real_power_actual_value = ser_byt15+ser_byt14+ser_byt13+ser_byt12

real_power_actual_value_dec1= int(real_power_actual_value, 16)
#real power actual value dec2=(real power actual value dec1*max_voltage_dec*max_current_dec)/(number_of_samples_dec)
#6777216
real_power_actual_value_dec2 = (real_power_actual_value_dec1*max_voltage_dec*max_current_dec)/(number_of_samples_dec*1)
real_power_actual_value_dec = round(real_power_actual_value_dec2,2)

ser_real_power_power = str(real_power_actual_value_dec)

real_power_actual_value_from_arduino = ser_real_power_power
#real power actual value from arduino=10
url_real_power_actual = real_power_actual_string + ser_real_power_power
s_real_power_actual = requests.post(url_real_power_actual, data=query)
print (s_real_power_actual.text)
ser_real_power_power = str(real_power_actual_value_dec)
self.power_text.set(ser_real_power_power) #update on gui

apparentpower= real_voltage_dec*real_current_dec
pf = real_power_actual_value_dec/apparentpower

self.pf_text.set(str(pf)) #update on gui

version_number =ser_byt25+ser_byt24
version_number_dec = int(version_number, 16)
self.version_text.set(str(version_number_dec)) #update on gui

time2 = datetime.datetime.now()
elapsedTime = time2 - time1
print(elapsedTime.total_seconds())
duration = elapsedTime.total_seconds()

time1 = datetime.datetime.now()
energy_acc = real_power_actual_value_dec*duration/3600000
global energy_accumilated

```



```

energy_accumulated = energy_accumulated + energy_acc

self.totlakwh_text.set(str(energy_accumulated))

print (real_current_dec)
print (real_voltage_dec)
print (real_power_actual_value)
print (number_of_samples_dec)
print (max_voltage_dec)
print (max_current_dec)
print (version_number_dec)

time_string = time.strftime('%H:%M:%S')
self.time_text.set(time_string) #update on gui

global time1

except queue.Empty:
    pass

self.after(100, self.process_serial)

app = App()
app.mainloop()

```
